

**Guidelines on empanelment of inspection agencies and inspection of warehouses**

**Warehousing Development and Regulatory Authority,**

**Government of India**

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## **CHAPTER I PRELIMINARY**

### **1. Short title and objective**

- 1) These guidelines may be called the Guidelines on empanelment of inspection agencies and inspection of warehouses.
- 2) These guidelines seek to establish a well defined framework for inspection of warehouses by the Authority by itself or through empanelled inspection agencies. Accordingly, these guidelines define the types of inspections, lay down procedures and checklists for inspections, empanelment of inspection agencies and monitor their functioning.

### **2. Definitions**

- 1) In these guidelines, unless the context requires otherwise:
  - a) “Act” means the Warehousing (Development and Regulation) Act, 2007 (37 of 2007);
  - b) “affiliate” means —
    - i. applicant’s key managerial persons or any of their relatives who are key managerial persons;
    - ii. a company in which the applicant or any of its key managerial persons is a Member or Director; any person on whose advice, directions or instructions the applicant or any of its key managerial persons is authorised to act, except where the advice, directions or instructions are given in a professional capacity;
    - iii. any company which is —
      - 1) a holding, subsidiary or an associate company of the applicant; or
      - 2) a subsidiary of a holding company to which the applicant is also a subsidiary.
  - c) “applicant” means a person making an application to the Authority seeking empanelment as an Inspection Agency under clause 5 of these guidelines;
  - d) “Authority” means the Warehousing Development and Regulatory Authority established under the Act;
  - e) “authorisation letter” means a letter issued by the Authority, which directs an inspection agency or an employee of the Authority, to inspect a warehouse and includes such details as are required under these guidelines.
  - f) “discrepancy note” means a note issued to the warehouseman or his representative by an Inspecting Officer at the site of the inspection highlighting major non-compliances

in the working of the warehouse.

- g) “follow-up inspection” means an inspection on the basis of Authority’s assessment of the last inspection report which highlights non-compliance with the provisions of the Act, Rules, Regulations and Guidelines made thereunder.
- h) “general inspection” means an inspection that covers any or all aspects of physical inspection, inspection with regard to adherence to the SOP, and verification of the quantity and quality of goods in the warehouse.
- i) “guidelines” means these guidelines issued by the Authority and as amended from time to time.
- j) “holding company” in relation to one or more other companies, means a company of which such companies are subsidiary companies.
- k) “inspection” means examination of a warehouse in order to assess compliance with the provisions of the Act, Rules, Regulations and Guidelines/Circulars made thereunder and includes physical verification of stocks, equipments, examination of records, collection of information including documents, samples, records from one or more warehouses and any other office or premise of a warehouseman.
- l) “inspection agency” means an agency, whatever be its constitution, empanelled with the Authority under clause 9 of these guidelines.
- m) “Inspecting Officer” means an employee of the Authority or an employee of an inspection agency who shall inspect a warehouse as per these guidelines.
- n) “key managerial person”, in relation to an applicant or an inspection agency, means —
  - i. if the applicant or an inspection agency is a partnership, each of the partners mentioned in its registered partnership deed;
  - ii. if the applicant or an inspection agency is a society, the president, secretary and treasurer of the society and each member of its governing body;
  - iii. if the applicant is a company –
    - 1) the Chief Executive Officer or the managing director;
    - 2) the Company Secretary;
    - 3) each Director; and
    - 4) the Chief Financial Officer.
- o) “net-worth” means —
  - i. for a company, the aggregate value of the paid-up share capital and all reserves created out of the profits and securities premium account, after deducting the aggregate value of the accumulated losses, deferred expenditure and miscellaneous expenditure not written off, as per the audited balance sheet,

but does not include reserves created out of revaluation of assets, write-back of depreciation and amalgamation; and

- ii. for a partnership, society or sole proprietorship, the aggregate value of paid up capital and the free reserves.
- p) “order” means any order issued by the Authority which may be in writing or electronically and states a decision of the Authority under these guidelines.
- q) “physical inspection” means an inspection of the physical infrastructure of the warehouse to assess that the physical parameters of the warehouse are in compliance with the infrastructural and any other requirement specified by the Authority from time to time.
- r) “relative” means a relative as defined under the Companies Act, 2013
- s) “show cause notice” means a written or electronic notice issued by the Authority which —
- i. states an action that the Authority proposes to take;
  - ii. states the causes requiring the proposed action; and
  - iii. provides the time limit within which such notice must be replied to;
  - iv. provides the manner of replying to the show-cause notice, including whether replies are sought in person or through written representations or both.
- t) “Standard Operating Procedure (SOP)” means information relating to the processes, actions and responsibilities with regard to the following subjects:
- i. procedure for deposit and delivery of goods;
  - ii. procedure for weighing, sampling of goods to be deposited as per industry standards;
  - iii. procedure for verification of quality of goods and communication to depositor;
  - iv. procedure for maintaining details of persons who may act as authorised representatives of the warehouseman for issuing negotiable warehouse receipt;
  - v. procedure for ensuring the safety of the goods from fire, theft, burglary, etc.;
  - vi. procedure for scientific storage of goods, including stacking;
  - vii. procedure for clear identification of stored goods up to the lowest common factor (for example lot size);
  - viii. procedure for determining losses caused due to fire, flood, burglary, misappropriation, fraud, negligence and force majeure events;
  - ix. procedure for internal verification of stock;
  - x. procedure for grievance redressal; and
  - xi. roles and responsibilities of employees (including outsourced employees).

- u) “subsidiary” in relation to any other company (that is to say the holding company), means a company in which the holding company directly or indirectly through another subsidiary —
  - i. controls the composition of the Board of Directors, such control being implied in cases where the holding company by exercise of some power exercisable by it at its discretion can appoint or remove all or a majority of the directors; or
  - ii. exercises or controls more than one-half of the total share capital either on its own or together with one or more of its subsidiary companies.
- v) “surprise inspection” means an inspection without an advance notice to the warehouseman to cover any or all aspects of physical inspection and general inspection.
- 2) The words and expressions used in these guidelines will have the meaning assigned to them in the Act.

## **CHAPTER II PERSONS AUTHORISED TO CONDUCT INSPECTION**

### **3. Requirement of authorisation letter from Authority to conduct inspection**

- 1) No person shall conduct any inspection without an authorisation letter from the Authority.
- 2) The Authority shall issue an authorisation letter only to an inspection agency or to Authority’s own employee who is assigned the task of conducting the inspection.
- 3) The Authority or the inspection agency may depute one or more Inspecting Officers to conduct an inspection.
- 4) The authorisation letter issued by the Authority shall require the inspection agency or its employee to conduct one or more of the following in respect of one or more warehouses —
  - a) physical inspection;
  - b) general inspection;
  - c) surprise inspection.

## **CHAPTER III EMPANELMENT OF INSPECTION AGENCIES**

### **4. Call of applications for empanelment of inspection agencies**

- 1) The Authority shall empanel inspection agencies pursuant to call for applications for

empanelment of inspection agencies on Authority website from time to time.

- 2) The Authority may not empanel any person as an inspection agency unless it has placed a call for applications in this regard.

## **5. Submission of application**

- 1) The applicant shall submit the application in the format specified in Schedule 1.
- 2) The Authority shall treat the application as complete if all of the following requirements are met –
  - a) the applicant submits the application for empanelment in the format and manner specified;
  - b) the applicant submits all documents required under Schedule 1 of these guidelines; and
  - c) the applicant pays a non-refundable application fee of Rupees ten thousand to the Authority.
  - d) the applicant declares that he does not have conflict of interest with any of the registered warehouse or a warehouse with respect to which an application for registration is made.
- 3) The Authority may call for additional information from the applicant and the applicant shall furnish such information within such time as may be stipulated by the Authority.

## **6. Acknowledgement of application**

- 1) The Authority shall send an acknowledgement to the applicant within one week of receipt of the application.
- 2) Mere submission of the application for empanelment shall not bind the Authority to empanel the applicant as an inspection agency under these guidelines.

## **7. Persons ineligible for empanelment**

- 1) Any person that has been blacklisted by the Government of India or the Government of any State or any Organisation associated with such Governments shall be debarred from empanelment as an inspection agency.
- 2) Any person whose empanelment has been cancelled by the Authority for any reason shall be debarred from empanelment for a period of five years from the date of cancellation of empanelment.
- 3) Any warehouseman who is already registered with the Authority under the Act.

## **8. Eligibility of applicants and evaluation of applications**

- 1) The Authority shall evaluate applications only if they are complete as per clause 5(2).

- 2) The application shall be evaluated for eligibility on the basis of the criteria laid in Schedule 2 of these guidelines and the Authority is satisfied that the applicant meets the criteria laid out in Schedule 2.

#### **9. Empanelment of inspection agencies**

- 1) If the Authority decides to empanel an applicant, it shall issue a written or electronic communication to the applicant and ask him to submit the security deposit in accordance with clause 12 within a period of fifteen working days or such time period allowed by the Authority.
- 2) If the applicant fails to submit the security deposit within such time period, the application may be rejected by the Authority.
- 3) If the applicant submits the security deposit in accordance with clause 12, it shall empanel the applicant as an inspection agency for a period of five years from the date of empanelment unless such empanelment is surrendered or cancelled before such period.

#### **10. Process for rejection of applications**

- 1) The Authority may reject an application if it finds that the applicant does not fulfill the eligibility requirements under clause 8.
- 2) The Authority may reject an application if any information submitted by the applicant under clause 5 is found to be false.
- 3) The Authority may reject an application if the applicant does not furnish the information required by the Authority within the time period specified under clause 5(3).
- 4) The Authority may reject an application if it finds the applicant ineligible under clause 7.
- 5) The Authority may reject an application on any other ground, recorded in writing, if it is satisfied that it is necessary to do so.
- 6) The Authority, before rejecting the application, shall issue a communication to the applicant providing at least fifteen working days to make representation, if any, to the Authority.
- 7) The representation under clause 10(6) shall be made by the applicant in writing.
- 8) If the Authority has provided time to an applicant to provide representations, the Authority shall consider the representation made by the applicant on merits and decide on the application.
- 9) If no representation is received within the period specified under clause 10(6), the Authority may reject the application.

## **11. Security Deposit**

- 1) An applicant shall submit and maintain a security deposit of Rupees five lakh to be held by the Authority during the period of empanelment.
- 2) The security deposit shall be in the form of a Bank Guarantee issued by Scheduled Commercial Bank which shall be valid for the period of empanelment.
- 3) The Authority shall release the security deposit if –
  - a) an inspection agency surrenders its empanelment and the Authority is satisfied that the inspection agency has discharged his obligations as per the requirements under these guidelines; or
  - b) the period of empanelment of the inspection agency has expired and the Authority is satisfied that the inspection agency has discharged his obligations as per the requirements under these guidelines.

## **CHAPTER IV**

### **INSPECTION PROCEDURES, RIGHTS AND OBLIGATIONS OF INSPECTION AGENCIES, WAREHOUSEMAN AND THE AUTHORITY**

#### **12. Common inspection procedure for warehouses registered with the Authority**

- 1) The inspection agency shall conduct an inspection within ten working days of the receipt of the authorization letter or within such time as may be stipulated by the Authority.
- 2) In exceptional cases, the Authority may require the inspection agency to conduct an inspection in less than ten working days of the receipt of the authorization letter.
- 3) The authorisation letter issued by the Authority to such inspection agency or its own Inspecting Officer(s) shall include —
  - a) the name and the address of the warehouse(s) and the warehouseman;
  - b) type of inspection (physical inspection, general inspection or a surprise inspection) to be carried out.
- 4) The Inspecting Officer shall submit an inspection report to the Authority within five working days of the completion of the inspection or within such time as stipulated by the Authority.
- 5) The Authority may send additional documents and information along with the authorisation letter required for carrying out the inspections, including other details of the warehouseman and the warehouse, past inspection reports, Standard Operating Procedure of the warehouseman and any other relevant information.

- 6) Unless otherwise specified in these guidelines, the inspection agency or Authority, as the case may be, will give a notice of at least two working days to the warehouseman prior to the inspection;
- 7) The authorisation letter and the credentials of the Inspecting Officer must be presented to the warehouseman or his representative at the site of inspection;
- 8) The Inspecting Officer shall explain the inspection process to the warehouseman or his representative at the site of inspection prior to the commencement of the inspection;
- 9) The inspection shall be conducted in the presence of the warehouseman or his representative.
- 10) For physical inspection, a walk-through of the warehouse/ premises will be conducted by the Inspecting Officer at the time of commencement of the inspection.
- 11) At the end of the inspection, the warehouseman or his representative shall sign or authenticate a declaration stating –
  - i. he was present throughout the inspection; and
  - ii. the inspection process as well as the discrepancies or non-compliances were explained to him by the Inspecting Officer; and
  - iii. the Inspecting Officer has issued a discrepancy note to him.
- 12) The Inspecting Officer will maintain a written record of all documents requested, furnished and inspected, and all information that was requested, but not made available. Such record must be sent along with the inspection report to the Authority.
- 13) If the Inspecting Officer collects any sample, record or document from the warehouse, it will issue a receipt acknowledging the same to the warehouseman or his representative. The procedure for sampling shall be as per the circulars, manuals or checklists issued by the Authority from time to time.
- 14) The Inspecting Officer shall complete the inspection as per the requirements of the authorisation letter and submit an inspection report in the format given in Schedule 4.
- 15) In cases where testing of samples is required as part of the inspection, the results of such tests should be communicated to the Authority within ten working days of the inspection.
- 16) Only BIS procedure shall be followed for collection and analysis of samples. One of the three representative samples collected and sealed jointly by the warehouseman or his representative and the inspection agency, one would be for laboratory testing while two will remain under the custody of the warehouseman for a period of six months or till the validity of NWR concerned whichever is earlier.
- 17) If any chemical test is required it will be got done from a government or government accredited laboratory. The prescribed fee for the chemical analysis would be borne by WDRA.

- 18) If the Authority requires, it may ask the inspection agency or its official to submit its inspection report earlier, and the inspection agency shall comply with such direction.

### **13. Procedure for physical inspection of warehouse**

All physical inspections shall be conducted as per the procedure laid down in Rule 9 of the Warehousing (Development and Regulation) Registration of Warehouses Rules, 2017.

### **14. Power of the Authority to conduct surprise inspections**

- 1) The Authority may conduct a surprise inspection of a warehouse through its own Inspecting Officer or an inspection agency.
- 2) Surprise inspections of a warehouse may be conducted by the Authority in the following cases:
  - a) if Authority receives complaints regarding the warehouse or a warehouseman.
  - b) if serious discrepancies have been discovered during previous inspections.
  - c) if the Authority notices discrepancies in the information contained in NWRs/eNWRs such as the price, quality, quantity etc. of goods stated therein, or notices any unusual transactions.
- 3) The Authority will not be required to issue any prior notice for surprise inspection of a warehouse (s) with regard to such inspection.
- 4) The Inspecting Officer shall complete the inspection as per the requirements of the authorisation letter and submit an inspection report in the format specified under Schedule 4 within five working days of completion of inspection.
- 5) If the Authority requires, it may direct the inspection agency to submit its inspection report earlier, and the inspection agency shall comply with such direction.

### **15. Obligations of inspection agencies**

- 1) The inspection agency shall inspect a warehouse after receiving an authorisation letter from the Authority and strictly as per the instructions contained in the letter.
- 2) The inspection agency shall not outsource inspection to any other agency, and all inspection reports and communications under these guidelines must be under the name and authority of the inspection agency.
- 3) The inspection agency shall ensure that it has a valid non-disclosure agreement with all of its staff at all times, preventing them from disclosing any information gathered during an inspection under these guidelines, except if required to do so by order of a court, tribunal or law enforcement agency.
- 4) Inspection shall be conducted by Inspecting Officer approved by the Authority at the time of empanelment or from time to time.

- 5) If an inspection agency wants to add to the list of Inspecting Officers, it must seek the prior approval of the Authority by submitting a letter stating the same, and the Authority may approve such Inspecting Officer after satisfying itself that such person meets the qualifications set out in Schedule 2 of these guidelines.
- 6) The inspection agency or its Inspecting Officer shall not accept any pecuniary benefits, gifts, donations or obligations from any warehouseman or any of its employees, workers or agents at any time during the period of empanelment.
- 7) All arrangement for travel, logistics, accommodation etc., necessary for conduct of inspection shall be made by the inspection agency and cost there of borne by it.
- 8) The inspection agency shall ensure that its Inspecting Officers are adequately trained on various functions of warehousing and conduct of inspections thereof, in addition to being conversant with the manuals and checklists issued under these guidelines and are fully conversant with the provisions of the Act, Rules, Regulations and Guidelines/Circulars issued thereunder.
- 9) The inspection agency shall be required to preserve the samples collected by it for a period of at least six months from the date of inspection and provide the same to the Authority as and when required.
- 10) At the end of the inspection, the Inspecting Officer shall explain the discrepancies or non-compliances noticed, and shall issue a discrepancy note to the warehouseman or his representative, directing the warehouseman to submit a compliance report to the Authority within ten working days.
- 11) An inspection agency may be required to conduct a follow up inspection in cases where discrepancies pointed out during an inspection have not been addressed adequately in the opinion of the Authority.
- 12) The inspection agency shall be required to share with the Authority any or all records relating to one or more inspections carried out by it as and when required by the Authority.
- 13) The inspection agency shall put in place a system and procedure of grievance redressal within the organization and submit a copy of the same to the Authority in the form and manner specified by the authority.
- 14) The inspection agency shall, on a written communication from the Authority, allow its books of accounts, its inspection processes and systems and past inspections conducted by it to be audited by an auditor appointed by the Authority.

#### **16. Warehouseman's rights and obligations regarding inspection process.**

- 1) A warehouseman or an applicant for warehouse registration, as the case may be, shall not prevent or obstruct an inspection process.
- 2) A warehouseman or an applicant for warehouse registration has a right to disallow an inspection if –
  - a) a copy of the authorisation letter has not been provided to him as specified under

these guidelines;

- b) the Inspecting Officer refuses to establish his credentials before commencement of the inspection; or
  - c) the Inspecting Officer seeks information or documents outside the scope of the inspection as stated in the authorisation letter;
- 3) The warehouseman shall provide full cooperation to the Inspecting Officer for the purpose of conducting the inspection, collecting samples, documents and capturing images from the warehouse and recording data from the warehouse or any office or premise belonging to the warehouseman or under his effective control.

#### **17. Request by the warehouseman for change of inspection date**

If the warehouseman requests the inspection agency for a change of inspection date with sufficient reasons, the inspection agency may, if it considers necessary to do so, accommodate such request subject to the timeline stipulated by the Authority in the authorisation letter.

#### **18. Refusal by the warehouseman for inspection of warehouse and consequences of such refusal**

- 1) If the warehouseman or his representative refuses to let the Inspecting Officer carry out the inspection, or does not extend full cooperation, the Inspecting Officer shall report about such refusal/non-cooperation immediately to the Authority and the inspection agency.
- 2) The Authority may, after ascertaining the grounds of such refusal or non-cooperation, if it considers necessary to do so, may initiate the process for rejection of application for registration or suspension or cancellation of registration of such warehouse in accordance with the Warehousing (Development and Regulation) Registration of Warehouses Rules, 2017.

### **CHAPTER V PAYMENT FOR INSPECTION**

#### **19. Payment of fees by Authority to inspection agency for conducting inspection**

- 1) The Authority shall pay an all inclusive fee to the inspection agency as per the rates specified in schedule 3 against monthly invoices raised by it.
- 2) In case an inspection is aborted owing to any mistake on part of the warehouseman/his representative or any other event or incident, natural or otherwise, the Authority shall make full payment of the fee to the inspection agency, provided that the Inspecting Officer visited the locations specified in the authorisation letter and provides sufficient reasons for which the inspection was aborted.

#### **20. Warehouseman to bear cost of inspection in certain cases**

The warehouseman shall bear the cost of inspection —

- a. if the situation of the stock or the condition of the warehouse in the first inspection rendered it unsuitable for inspection due to non-compliances in the practices observed by the Inspecting Officer. In such a case, the Inspecting Officer shall inform the Authority immediately from the warehouse site and furnish relevant evidence including photographs, videos and copies of documents to the Authority.
- b. if the warehouseman or his representative refuses to extend full cooperation to the Inspecting Officer as per clause 19. In such a case, the Inspecting Officer must inform the Authority immediately from the warehouse site and furnish relevant evidence to satisfy the Authority. The Authority may verify the evidence submitted by the Inspecting Officer in any manner it deems fit.
- c. if the Authority deems that an additional physical inspection of the warehouse, for which application for registration is made, is required to satisfy itself with respect to such corrective measures as are pointed out during the first physical inspection, it may cause a second physical inspection that shall be conducted at the cost of the applicant.

## **CHAPTER VI**

### **SUSPENSION, CANCELLATION AND SURRENDER OF EMPANELMENT**

#### **21. Suspension of empanelment**

- 1) The Authority may suspend the empanelment of an inspection agency, if —
  - a. such inspection agency fails to comply with any of the terms and conditions subject to which the empanelment was granted to it; or
  - b. such inspection agency violates the provisions of the Act, Rules, Regulations and Guidelines/Circulars made thereunder; or
  - c. the Authority has reasons to believe that the inspection agency has colluded with the warehouseman or his representative for the inspection; or
  - d. the inspection agency ceases to carry on business of inspection or goes into liquidation.
- 2) The Authority may suspend the empanelment of an inspection agency after issuing a communication to the inspection agency.
- 3) Such communication shall include the following details —
  - a) the specific requirements of the Act, Rules, Regulations and Guidelines/Circulars made thereunder that the inspection agency has violated;
  - b) the action the inspection agency is required to take to rectify such violation; and
  - c) the time limit within which such corrective action must be taken.

## **22. Effect of suspension**

- 1) An inspection agency shall not undertake any inspection during the period of suspension.
- 2) In the event of suspension, the inspection agency shall continue to discharge its obligations as per clause 15.

## **23. Power of Authority in the event of suspension**

During the period of suspension of an inspection agency, the Authority may call for information from the inspection agency to verify such inspection agency's compliance with the provisions of the Act, Rules, Regulations, and Guidelines/Circulars made thereunder.

## **24. Revocation of suspension**

- 1) The Authority may revoke the suspension of an inspection agency if it is satisfied that the inspection agency has fulfilled the requirements mentioned in the communication issued under clause 21(3) and shall communicate such decision to the inspection agency.
- 2) An inspection agency whose suspension has been revoked shall be eligible to inspect warehouses as per these guidelines.

## **25. Request for surrender of empanelment by the inspection agency**

- 1) If any inspection agency wishes to surrender its empanelment, it may make such request in writing.
- 2) While disposing a request under this clause, the Authority may require the inspection agency to fulfill all its obligations with respect to inspection of such warehouses that it was directed to inspect before the date of request of such surrender.
- 3) The Authority shall not assign the inspection agency the task of inspection of warehouse, once such a request for surrender is made.
- 4) The Authority may accept the surrender of empanelment and release the security deposit of the inspection agency if it is satisfied that the requirements under this clause have been met.

## **26. Cancellation of empanelment**

- 1) The Authority may cancel the empanelment of an inspection agency for one or more of the following reasons:
  - a) the Authority has a reason to believe that the inspection agency has colluded with the warehouseman or his representative for the inspection;
  - b) the inspection agency ceases to carry on business or goes into liquidation;
  - c) the inspection agency fails to comply with any of the terms and conditions

- subject to which the empanelment was granted to it;
- d) the inspection agency violates the provisions of the Act, Rules, Regulations, and Guidelines/Circulars made thereunder;
  - e) the inspection agency has been repeatedly suspended for violation of any of these guidelines;
  - f) the inspection agency fails to respond to a communication issued to him under these guidelines.
  - g) empanelment of the inspection agency has been suspended and the inspection agency fails to comply with the requirements specified in the communication issued to it under clause 22(2).
- 2) The Authority may not cancel the empanelment of an inspection agency except after holding an enquiry in accordance with this clause.
- 3) The Authority, or the enquiry officer appointed by it , acting under this clause, shall issue a show cause notice to the inspection agency which includes the following details:
- a) the specific requirements under these guidelines that the inspection agency has violated;
  - b) the information which would enable the Authority to satisfy itself regarding the violation; and
  - c) the time limit within which the notice must be replied to.
- 4) If the Authority or the enquiry officer acts under sub-clause (2) of clause 26, it shall allow the inspection agency –
- a) access to all the material considered by it in taking the decision to issue a show cause notice;
  - b) access to any material that was collected in the course of any inquiry or investigation that was used in taking the decision to issue the show cause notice; and
  - c) access to any recorded conclusions or findings that the Authority drew based on material collected during any inquiry or investigation in taking the decision to issue the show cause notice.
- 5) The Authority, or the enquiry officer, may conduct, or cause to be conducted by an

external person to examine compliance with the Act, Rules, Regulations and Guidelines/Circulars made thereunder.

- 6) If an enquiry officer was appointed, such officer shall submit an enquiry report to the Authority after considering all the facts, and the submissions made by the inspection agency.
- 7) The enquiry report submitted by the enquiry officer under sub-clause (6) of clause 26 shall include all the following:
  - a) copy of the show cause notice;
  - b) all material considered in taking the decision to issue the show cause notice;
  - c) copy of all communication and submissions made by the inspection agency to the enquiry officer in respect of the show cause notice;
  - d) copy of all material considered during the investigation;
  - e) findings of the investigation;
  - f) specific violations by the inspection agency under these guidelines which make him liable for action; and
  - g) name of the enquiry officer or officer of the Authority as the case may be.
- 8) The Authority shall issue a final order on the basis of the enquiry report or on the basis of all the facts and the submissions made by inspection agency before it and shall immediately communicate such order to the inspection agency.
- 9) The final order issued by the Authority shall contain all of the following:
  - a) the decision taken by the Authority;
  - b) the reasons for taking such decision;
  - c) the materials on which the Authority has relied upon to arrive at such decision;
  - d) any right that the inspection agency may have to have the matter referred to the Appellate Authority;
  - e) the procedure of such reference.
- 10) The order shall be published on the website of the Authority.

## **27. Appeals**

Any person aggrieved by an order of the Authority made under these guidelines may prefer an appeal to the Appellate Authority as specified under section 42 of the Act.

## **CHAPTER VII SCHEDULES**

### **Schedule 1**

#### **Application form for empanelment of inspection agencies**

1. Information concerning the applicant —
  - a) Name of the applicant
  - b) If the applicant was previously empanelled with the Authority, identification number issued to the applicant
  - c) Type of person —
    - i. Company
    - ii. Partnership Firm
    - iii. Society
    - iv. Trust
    - v. Sole proprietorship firm (Applicant must submit Certificate of Incorporation, Registration certificate, Registered deed, as applicable).
  - d) Address along with documents required as proof of address
  - e) Email address:
  - f) Telephone number:
  - g) Photograph of the applicant or authorised representative of the applicant signing the application:
  - h) if the application is submitted by the authorized representative of the applicant, one of the following must be provided:
    - i. power of attorney:
    - ii. board resolution authorising the representative;
    - iii. board resolution in favour of the person providing a letter of authorisation in favour of the authorised representative; or,
    - iv. a letter of authorization from the governing body of the trust, society, partnership firm, as the case may be (the governing body shall be the body constituted by such organisation under its registered incorporation documents).
    - v. The address, email, phone number of the authorised representative of the applicant must be provided.

2. Documents and other information to be submitted relating to eligibility as per Schedule 2.

a) Declaration in the following format, stating that the Applicant meets the requirements for a fit and proper person:

<p><u>Declaration by applicant stating compliance with requirements for a fit and proper person</u></p>
<p>I/We, _____ (name of applicant), a _____ (Company/ Partnership firm/ Society/ Trust/ Sole proprietorship), having my registered office at _____ (complete address), hereby declare and certify that I/We have perused these guidelines, and as per the requirements for eligibility:-</p> <ul style="list-style-type: none"><li>a) Neither I or any of my key managerial persons, have been convicted by a court for any offence, at any time in the preceding five years;</li><li>b) Neither I or any of my key managerial persons, have been declared an un-discharged insolvent;</li><li>c) Neither I or any of my key managerial persons, have been declared to be of unsound mind by a court.</li><li>d) I/We am/are not under a declaration of ineligibility/banned/ blacklisted by any Regulatory Authority, State or Central Government/ any other Government institutions in India for any reason whatsoever as on the date of submission of the application.</li><li>e) I/We am/are not under investigation by any law enforcement agency for unfair practices or any other offence;</li><li>f) Neither I nor my affiliates are licensed by the Authority to carry out any other function under the Act; and</li><li>g) Neither I or any of my key managerial persons have conflict of interest with any of the registered warehouse or the warehouse with respect to which an application for registration is made.</li><li>h) I/We agree to comply with the provisions of the Act, Rules, Regulations and Guidelines/Circulars made thereunder from time to time during the period of my empanelment with the Authority.</li></ul>
<p>Signature of Applicant or Authorised representative</p> <p>Date: Place :</p>

b) Details of inspections conducted during the last three financial years alongwith documentary proof from the client in the following format:

<b>S.No.</b>	<b>Type of inspection (warehouse/ commodity/ other - specify)</b>	<b>Client</b>	<b>Year of inspection</b>

c) Details of at least ten inspections/ audits conducted in the previous financial year alongwith documentary proof from the client in the following format:

<b>S.No.</b>	<b>Type of inspection (warehouse/ commodity/ other - specify)</b>	<b>Client</b>	<b>Date(s) of inspection</b>

d) Details of at least thirty inspections/ audits / certifications of warehouses, firms and entities engaged in warehousing, logistics, commodity management, food storage and processing, food safety in the last three financial years alongwith documentary proof from the client in the following format:

<b>S.No.</b>	<b>Type of inspection/ audit/ certification (warehouse/ commodity/ other - specify)</b>	<b>Inspected entity involved in: (warehousing/ logistics/ commodity management/ food storage and processing/ food safety)</b>	<b>Date(s) of inspection</b>

e) Details of Inspecting Officers working with/for the applicant qualified to inspect warehouses and warehousemen, in the following format (In addition, CV of each Inspecting Officer to be attached):

<b>S. No.</b>	<b>Name</b>	<b>Educational qualification</b>	<b>Areas of experience, and years of experience</b>	<b>Number of inspections/ audits/ certifications</b>
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f) Details of offices/ branches of the applicant:

<b>Region</b>	<b>Number of offices</b>	<b>Address of each office</b>

**The Authority may ask for additional information from the applicant to verify the information submitted in the application.**

3. Details of payment of payment of Nonrefundable Application Fee:-

Date  
Place

Signature of the Applicant /  
Authorised Representative

## Schedule 2

### Eligibility criteria for empanelment as an inspection agency

1. The applicant must meet all of the following conditions in order to be considered for empanelment as an inspection agency –
  - a) The applicant must be a fit and proper person.
  - b) The applicant should have conducted inspections for a minimum period of three years.
  - c) The applicant should have conducted a minimum of ten inspections/ audits in the previous financial year.
  - d) The applicant should have conducted a minimum of thirty inspections/ audits / certifications of warehouses, firms and entities engaged in warehousing, logistics, commodity management, food storage and processing, food safety in the last three financial years.
  - e) The applicant should have a minimum of three Inspecting Officers qualified to inspect warehouses and warehousemen as per the following requirements:
    - i. A minimum graduate degree in science, agriculture or allied sciences.
    - ii. Have experience of at least five years in one or more of the following fields – storage, assaying, inspection or testing of agricultural commodities, warehousing, logistics, and supply chain management.
    - iii. Have conducted at least five inspections/audits/certifications of warehouses, firms and entities engaged in warehousing, logistics, commodity management, food storage, processing and food safety.
  - f) The applicant should have offices in at least two of the following zones.
    - i. North
    - ii. South
    - iii. East
    - iv. West
    - v. Central

2. Requirements for a fit and proper person: The Authority shall consider the applicant a fit and proper person if the applicant satisfies all of the following requirements —

- a) the applicant, or any of its key managerial persons, have not been convicted by a

- court for any offence, at any time in the preceding five years;
- b) the applicant, or any of its key managerial persons, has not been declared an undischarged insolvent;
  - c) the applicant, or any of its key managerial persons, have not been declared to be of unsound mind by a court
  - d) the applicant, or any of its key managerial persons should not be under a declaration of ineligibility/banned/ blacklisted by any Regulatory Authority, State or Central Government/ any other Government institutions in India for any reason whatsoever as on the date of submission of the application
  - e) the applicant, or any of its key managerial persons should not be under investigation by any law enforcement agency for unfair practices or any other offence;
  - f) the applicant or any of its key managerial persons should not have conflict of interest with any of the registered warehouse or the warehouse with respect to which an application for registration is made.
  - g) the applicant or its affiliates are not licensed by the Authority to carry out any other function under the Act; and
  - h) The applicant or its authorised representative shall submit a declaration stating that it will comply with the provisions of the Act, Rules, Regulations and Guidelines/Circulars made thereunder from time to time.

### Schedule 3

#### Payment of fees to be made to the inspection agency by the Authority

Type of inspection	Fee per inspection for different capacity of warehouses (All inclusive)		
	Up to 10,000 Ton (In Rs)	10,000 Ton - 25,000 Ton (In Rs)	Above 25,000 Ton (In Rs)
Physical inspection	10,000	12,500	15,000
General inspection	12,000	17,000	25,000

- 1) The Authority shall provide Rs 2,500 extra for warehouse located in the North-eastern States.
- 2) If the general inspection consists of only one of the following: (a) physical inspection, (b) SOP inspection, or (c) stock inspection, rates for physical inspection given in this table shall be applicable for payment to the inspection agency.
- 3) If the general inspection consists of more than one of the following: (a) physical inspection, (b) SOP inspection, or (c) stock inspection, rates for general inspection given in this table shall be applicable for payment to the inspection agency.
- 4) For surprise inspections, if the inspection consists of only one of the following: (a) physical inspection, (b) SOP inspection, or (c) stock inspection, rates for physical inspection given in this table shall be applicable for payment to the inspection agency. If the inspection consists of more than one of the following: (a) physical inspection, (b) SOP inspection, or (c) stock inspection, rates for general inspection given in this table shall be applicable for payment to the inspection agency.

## **Schedule 4: Procedure for Physical Inspection of Warehouses**

### **Physical Inspection - Infrastructure requirement for registration of warehouses**

The warehouse (either a conventional godown, a silo or a cold storage) intending to register with the Warehousing Development and Regulatory Authority (WDRA), shall be required to meet the infrastructure standard prescribed by the Authority under the Warehousing (Development and Regulation) Registration of Warehouses Rules, 2017. The warehouse, fulfilling the eligibility requirements prescribed in the Registration Rules, shall be subjected to a physical inspection by an Inspection Agency empanelled with WDRA after issuing a communication, either in writing or electronic, to the concerned agency.

The Inspecting Officer of the empanelled Inspection agency deputed for physical inspection shall collect information on physical infrastructure of the warehouse apart from key criteria establishing the ownership/effective control of the warehouse by the applicant. The checklist for physical inspection of the three types of warehouses is given at **Annexure-I, II and III** respectively.

#### **I. Infrastructure for conventional warehouses**

The infrastructure in a conventional warehouse can be categorised into the following heads:

1. Structural requirements.
2. Laboratory facilities including equipments for physical and chemical analysis of goods.
3. Equipments and infrastructure required for preservation / maintenance of stocks
4. Arrangements for weighment of goods.
5. Fire fighting arrangements.
6. Security Arrangements
7. Office facilities.

#### **1. Structural requirements:**

- (i) The warehouse should be constructed as per Bureau of Indian Standards (BIS) (BIS Standard No.IS16144:2014)/FCI/CWC specifications. Though these standards are acceptable to the Authority for the purpose of registration of a warehouse, the

Inspection Agency may, if satisfied, relax some of the structural requirements without compromising the overall storage worthiness of the warehouse with specific observations/reasons for relaxing the same. However, following aspects shall be given due consideration.

- (a) The godown(s) shall be located on a raised site with adequate drainage facilities, not liable for flooding and inundation and shall be away from a place likely to cause water seepage into the godown(s).
- (b) The godown(s) should be free from any high tension electric line passing over it, and in the event of such line passing over, relevant electric core position should be taken into account while planning the storage structure. The structure should be free from gas/fire pipe lines.
- (c) The storage structure, as far as possible, shall be well away from source of contamination, such as slaughter-house and tanneries, and possible source of fire-hazard, such a timber stores and petrol/CNG/PNG pumping stations.
- (d) There shall be no tree adjoining the godown, roots of which can affect the foundation of the godown structure. The structure shall always be kept clear of branches of tree, poles etc. by at least by 3 meters as otherwise rats, squirrels etc would find access into it. Necessary measures for preventing termites infestation shall also be demonstrated.
- (e) The warehouse should be accessible by an all-weather motorable road. The warehouse may preferably be situated near a transport head or a main road. If the warehouse is located away from the main road, then it must have easy accessibility for the transport vehicles.
- (f) In the warehouse complex, there shall be sufficient parking space and space for easy maneuverability of vehicles. If the warehouse is situated at a ferry head, railway station, airport, etc, sufficient berthing, loading and unloading facilities shall be available.
- (g) The plinth shall generally be kept 61 cm above the finished ground level for the road fed godowns whereas for the rail-fed godowns, the plinth height shall be 91 cm above the top of the rail.
- (h) The flooring in the storage godown should be made of cement concrete, rodent proof, damp proof, rigid, durable and free from any cracks or crevices.

- (i) Doors shall be provided preferably opposite each gangway for facility of cross ventilation. The doors shall be of rolling shutters. The doors shall be not less than 1 830 x 2 450 mm. Alternately, the garage door of clear opening 1 830 mm x 2 450 mm may also be used.
- (j) In longitudinal walls, one steel ventilator of opening not less than 1494 mm and 594 mm shall be provided in each bay between RCC columns spaced at 4650 mm from centre to centre. Air inlets of steel ventilator 620 mm x 620 mm in each bay shall also be provided at 600mm above the floor level of the godown. Where good local timber is available or in coastal region where steel may be subjected to corrosion, timber ventilators may be provided.
- (k) The roof of the godowns shall be of single span structural steel or tubular trusses which shall be fixed on the RCC columns of RS joints at a height not less than 5600 mm from the plinth level to the tie level at the column ends, both for the road-fed and rail-fed storage godowns.
- (l) Roofing material, may be of corrugated asbestos sheets or galvanised corrugated steel sheets or corrugated aluminum sheets not lesser than 0.56 mm thick . For facility of natural illumination sufficient number of translucent sheets may be provided. For facility of ventilation and cooling effect, sufficient number of turbo ventilators may be provided on the roof.
- (m) A beam may be provided at the tie level of truss over gable walls and partition walls. Where gabled roof is constructed, care should be taken that no hollow space is left between the walls and the roof covering.
- (n) Adequate arrangements for drainage of rain water shall be provided in the complex to avoid flooding.
- (o) The internal faces of the walls of the godowns shall be cement plastered and external faces up to floor level shall be smooth plastered. The internal faces may be whitewashed and external faces provided with colour wash.
- (p) Sufficient lighting may be provided inside the godown in the alleyways and on the outside of the godown at door points to facilitate loading and unloading operations. All the electrical connections and fittings should be got checked and tested regularly to avoid any electrical short circuit. In case some defects are observed, these should be immediately got repaired.

- (q) There may be one or more ancillary structures at the warehouse depending upon the storage capacity and scope for future expansion. A small compact block consisting of an office room, a store room and a separate room for keeping pesticides under lock and key with proper ventilation may be provided.
- (r) Warehouse official shall ensure that all the walls, pillars, partitions, ceilings, staircases inside the warehouse are white/colour washed at defined intervals (at least once in 3 years).
- (ii) In the earthquake prone areas the godown shall be designed and constructed to take care of earthquake tremors in accordance with the provision given in IS : 1893 -1984 and IS : 4326 - 1993.

**2. Laboratory facilities including equipments for physical and chemical analysis of goods**

Though it would be ideal to have a laboratory fully equipped with required equipments for physical and chemical analysis of goods stored in the warehouse, a separate laboratory space may not be mandatory for registration of a warehouse provided that a space in the warehouse premises is earmarked for testing and analysis and the warehouse has minimum laboratory equipments as detailed at **Annexure-IV**. Option shall also be given to the warehouse to either have its own facilities for sampling and grading of the commodities for which registration is being sought or have tie up with some assaying agency/ laboratory

**3. Equipments and infrastructure required for preservation and analysis of goods:**

The warehouse should have necessary equipment and other items required for operating a warehouse as well as preservation and maintenance of goods. A list of such equipment and items is given in **Annexure-IV**.

The equipment and items requiring calibration should be got calibrated periodically from some approved calibrating laboratories/ institutions and a certificate to this effect should be obtained and displayed. A separate calibration register for the equipment which requires calibration should be maintained.

**4. Arrangements for weighment of goods:**

The warehouse should have adequate facilities for weighment of goods brought for storage in the warehouse. Ideally the warehouse should have its own electronic lorry weighbridge (LWB). However, if it has sufficient number of Electronic Platform

Scales of desired capacity it may qualify for registration. Details of last date of stamping of weighing scales shall be prominently displayed in the warehouse.

In case of availability of an electronic lorry weighbridge in the warehouse, its capacity and make may also be indicated apart from details of last stamping. If not, the following details may also be provided –

- (i) address of at least one or more lorry weighbridge available in the vicinity to be used for weighment;
- (ii) name of owner of the weighbridge;
- (iii) distance of the weighbridge from the warehouse;
- (iv) capacity of the LWB.

#### **5. Fire fighting arrangements:**

The warehouse should have adequate arrangements to ensure that the warehouse and stocks stored therein are well protected from losses due to fire hazards. Warehouse security shall ensure that no personnel entering in to the premises carry any match box, gas lighter, chemicals and inflammable items which can cause fire. Warehouse official shall take precautions to avoid any outbreak of fire in the premises. **“No Smoking”** signage boards shall be prominently displayed at vantage locations. The warehouse shall have adequate number of fire extinguishers and fire buckets as detailed at **Annexure-V**. The warehouse may have any other better provision/arrangement for fire detection and fire protection/ fighting duly approved by fire service authorities. Water supply and fire fighting arrangement shall be made in accordance with the requirement of the local fire department. In case of storage of hazardous goods like cotton bales, jute bales etc, static water tanks of appropriate capacity should be provided and shall always be maintained.

Warehouse shall ensure that addresses and telephone numbers of Local Fire Station, Police Station, Hospital and warehouseman shall be displayed at the security room , office and conspicuous places so that in case of emergency, the concerned authorities can be contacted without any delay.

#### **6. Security Arrangements**

The warehouse should have requisite infrastructure for security of the premises and stock. In addition to required watch and ward staff, the warehouse should have a well protected pucca boundary wall or barbed wire fencing with a well secured gate. A Security Room at the gate(s) would be advisable. Adequate arrangement for lighting on

the warehouse perimeter wall and inside the complex shall also be provided for proper watch and ward.

## **7. Office facilities**

The warehouse may have a small compact block consisting of an office room or a designated chamber/space to act as office area. Sufficient office equipments viz. computers, telephone, fax and furniture (table, chairs almirah, etc.) shall be available in the office.

## **II. Infrastructure requirement for Silos**

The current trend is towards adoption of bulk storage of grains in silos for better efficiency, safety/security of stock and maintenance of quality. Silo is a unit consisting of several vertical cylindrical bins having height greater than their diameter or horizontal bins used for storage and handling of grains in bulk and fitted with necessary equipment and accessories. IS:5503 (Part-I & II)-1969 reaffirmed 2005 provides detailed specification of general requirements for silos for grain storage. However, following requirements shall be given due consideration while examining the proposals for registration of silos:

1. The structure shall be located on a site having proper drainage and not liable to flooding or inundations, and which has adequate load bearing capacity.
2. The structure, as far as possible, shall be well away from source of contamination, such as slaughter-house and tanneries, and possible source of fire-hazard, such as timber stores and petrol/CNG/PNG pumping stations.
3. Silos shall be preferably rail connected. There shall be loading and unloading facilities and sufficient space for maneuvering of vehicles. Where silo is near water-ways, such as docks and ferry heads, sufficient berthing, loading and unloading facilities shall be made available.
4. A silo shall generally consist of truck and wagon dump or both, head-house, storage block, and distribution and collection galleries. Besides, it may have a number of ancillary structures at each site, such as a small block consisting of office and store rooms, a laboratory, a non-combustible store-building for storing fuels, a bagging shed, a track shed where the wagons or lorries may be handled under cover, repair shop, weigh bridges for lorries and wagons, an electrical substation, and a control room.

5. Truck dump and wagon dump shall be adequately protected against rain and shall be provided with suitable metal sieves with sufficient strength for separation of foreign matter, such as hay or other bigger sized grains.
6. The dump shall have adequate provision for drainage in case of water accumulation.
7. A headhouse shall consist of basement and number of floors. It shall accommodate chiefly the buckets elevators for vertical elevation of grains, automatic weighing and cleaning machines, garners and other handling equipment.
8. The silos bins may be circular, rectangular or of any other shape. The bins may be constructed of reinforced cement concrete or of steel.
9. The silo bins may preferably be arranged in rows (not exceeding four rows) with the headhouse located in a convenient position.
10. The concrete mat on which the silo bins stand shall be deemed as the plinth, unless the mat is laid directly on hard soil or rock. The plinth level shall be at least 0.5 meter higher than the maximum rain water level.
11. The silo shall also have following facilities for proper storage and upkeep of food grains stored therein:
  - Cleaning Machines.
  - Drying Equipment.
  - Temperature Detection Equipments.
  - Aeration Equipment.
  - Fumigation Pumps.
  - Weighing and Bagging Machines.
  - Fire-Fighting Equipment.
  - Control Room.

### **III. Infrastructure requirement for Cold Storages**

#### **1. General Consideration:**

Following are minimum requirement which shall be given due consideration:

- i) The cold storage unit / chamber shall be located on a raised site with adequate drainage facilities, not liable for flooding and inundation and shall be away from a

place likely to cause entry of waste seepage water into the cold storage unit / chambers.

- ii) The cold storage unit / chamber should be free from any high tension electric line passing over it, and in the event of such line passing over; relevant electric core position should be taken into account while planning the storage structure. The structure should be free from gas/fire pipe lines.
- iii) There shall be no tree close to the structure, roots of which can affect the foundation of the cold storage unit / chamber structure. The structure shall always be kept clear of branches of tree, poles etc. by at least by 3 meters as otherwise rats, squirrels etc would find access into it. Necessary measures for preventing termites infestation shall also be demonstrated.
- iv) The warehouse (cold storage) should be accessible by an all-weather motorable road. The warehouse (cold storage) may preferably be situated near a transport head or a main road. If the warehouse (cold storage) is located away from the main road, then it must have easy accessibility for the transport vehicles.
- v) The structure, as far as possible, shall be well away from source of contamination, such as slaughter-house and tanneries, and possible source of fire-hazard, such a timber stores and petrol/CNG/PNG pumping stations.
- vi) In the warehouse (cold storage) complex, there shall be sufficient parking space and space for easy manoeuvrability of vehicles. If the warehouse is situated at a ferry head, railway station, airport, etc, sufficient berthing, loading and unloading facilities shall be available.
- vii) As required for conventional warehouses, the plinth shall generally be kept 61 cm above the finished ground level. In case the cold store is constructed under the scheme of any Organization / Department / Ministry of the Centre / State Government the standards applicable under those schemes shall be followed.
- viii) The size, material / item and specifications / standards / design for foundation, beam, columns, wall, plaster (if applicable), insulation, staircases, mezzanine floor, doors, roofs, electricity, flooring, cooling, refrigeration, compressor, condenser, cooling tower, pressure vessel, evaporators / air cooling units, energy saving equipment and measures, etc. should be as per the prescribed specifications under BIS or as per the approved standards of any Central / State Government Department / Organization under which the cold store is constructed. The

standards followed may also be got cross verified as per details furnished in the Basic Data Sheet and certificates provided by the experts.

- ix) Generally, cold storages are constructed as per two type of technical standards –
  - a. In accordance with the technical standards prescribed under the schemes of Organizations/Department/ministry of Central/State Government In such cases, particulars of the scheme along with documentary proof may be verified by the Inspecting Officer (s) to be cross verified as per details mentioned in the Basic Data Sheet and certificates provided by the experts.
  - b. In accordance with any other nationally or internationally recognized standard design practice load eg. American Society of Heating, Refrigerating, and Air-Conditioning Engineers (ASHRAE)/ Indian Society of Heating, Refrigerating and Air Conditioning Engineers (ISHRAE)/ Air Conditioning, Heating and Refrigeration Institute (AHRI) . In such cases the design methodology followed must be cross verified by the Inspecting Officer as per details mentioned in the Basic Data Sheet (**Annexure VIII**) and certificates provided by the experts.
- x) In the earthquake prone areas the godown shall be designed and constructed to take care of earthquake tremors in accordance with the provision given in IS: 1893 -1984 and IS : 4326 - 1993.

## **2. Infrastructure requirement in a warehouse (cold storage):**

### **i) Structural requirements:**

- (a) **Cold storage chamber (s) sizing and capacity** : The sketches depicting layout plan of the cold storage along with the basic data sheet prescribed for the type of cold storage are required to be verified. The format of the basic data sheet applicable as per the technical standards of the relevant type of cold storage constructed under the approved schemes of the organization / Department / Ministry of the Centre / State Government or as per any other national or internationally recognized standard design will be used as detailed in **Annexure VIII**. Copy of the basic data sheet shall be collected by the Inspecting Officer during physical inspection of the cold store. The capacity of the cold storage will be calculated as per norms described under NHB/NCCD guidelines i.e 3.4 cubic meter or 120 cubic feet of temperature controlled storage space created shall be equivalent to 1 MT (metric ton) of storage capacity, irrespective of the product stored.

- (b) **Machine Room, generator room, admin, block & utility areas**
  - (c) **Cold store doors / internal emergency doors and air/strip barrier or curtain**
  - (d) **Ante Room, Process / handling Areas and pre-cooling unit**
  - (e) Cooling system design detail (cooling system configuration: mechanical refrigeration)
  - (f) **Refrigeration equipment**
  - (g) **Electrical installations**
- ii) **Storage Conditions, storing of goods and stacking in cold storage:** The prescribed critical storage conditions of temperature, relative humidity, presence of CO<sub>2</sub>, ethylene, air circulations, light etc. for goods to be stored should be adequate in the cold storage.
- iii) **Equipments ( including weighing , handling, etc), items (including chemical, electronic, etc), Laboratory facilities including equipments for physical and chemical analysis of goods and other systems followed :** The equipment, facilities and systems at the cold storage as indicated in the checklist at **Annexure III** shall be checked for its availability, adequacy and working condition and the actual findings should be recorded.
- iv) **Security Arrangements:** The warehouse (cold storage) should have requisite infrastructure for security of the premises and stock. In addition to required watch and ward staff, the cold storage should have a well protected pucca boundary wall with a well secured gate. A Security Room at the gate(s) would be advisable. Adequate arrangement for lighting of the warehouse complex shall also be provided for proper watch and ward.
- v) **Fire fighting arrangements:** The cold storage should have adequate arrangements to ensure that the cold storage and stocks stored therein are well protected from losses due to fire hazards. The security staff shall ensure that no personnel entering in its premises carry any match box, gas lighter, chemicals and inflammable items which can cause fire. Cold storage official shall take precautions to avoid any outbreak of fire in the premises. **“No Smoking”** signages shall be displayed at the prominent locations. The cold storage shall have adequate number of fire

extinguishers recommended by the local fire office and fire buckets as detailed in **Annexure-V**. However, the cold storage may have any other better provision/arrangement for fire protection, detection and fire fighting duly approved by the local fire service authorities. Water supply and fire fighting arrangement shall be made in accordance with the requirement of the local fire department. In case of storage of hazardous goods static water tanks of appropriate capacity should be provided and shall always be maintained. The fire alarm and refrigerant leakage alarm system shall be kept functional.

The cold storage shall ensure that addresses and telephone numbers of Local Fire Station, Police Station, Hospital and warehouseman shall be displayed at the security room , office and conspicuous places so that in case of emergency, the concerned authorities can be contacted without any delay.

- vi) Deployment of adequate trained manpower :** The warehouse (cold storage) should have a full-time manager who is well conversant with provisions of Warehousing (Development and Regulation) Act 2007, Rules, Regulations, guidelines/circulars framed under the Act and Management of warehouses (cold storage) for perishable goods. It is also desirable to have a full time or part time project engineer who is registered with the recognized professional organization. A full time supervisor who can monitor functioning of cold storage through PLC and who is conversant with stacking, packaging and cold storage management shall also be required to be deployed in the cold storage. Apart from these personnel adequate number of functional staff for carrying out activities like quality grading, weighing sampling, account keeping, record management and security arrangement may also be placed in the cold storage.
- vii) Storage Worthiness of Cold Storage:** Storage worthiness of the cold storage shall be certified by concerned agency as given hereunder:
- (a) If it is constructed under Government schemes of NHM / HMNEH / MIDH / NHB / APEDA / MoFPI /NCCD etc. applicant may submit a copy of basic data sheet in prescribed format, duly approved by scheme implementing agency along with a copy of Joint Inspection Report. prepared before release of subsidy under the scheme.
- (b) In case the warehouses (cold storage) has not been set up under the above

programmes, the applicant must submit basic data sheet as per format given at **Annexure VIII** which should be accompanied by a certificate from a chartered graduate mechanical / refrigeration engineering firm that –

*“the heat load calculation has been done as per procedure laid down by ASHRAE fundamentals and Refrigeration Handbook with safety factor of 5% to 10% on estimated load and that the design is energy efficient, takes in to account safety of work men and goods stored and environmental concern.”*

- viii) Annual Maintenance Contract (AMC):** There should be valid AMC covering all the areas of its operation. The Inspecting Officer shall, verify this during inspection.
- ix) Compliance to the local laws for cold storage business:** During inspection the compliance towards following local laws shall be specifically verified in addition to other applicable local laws such as:
- a) **Warehousing / cold store license from the concerned authority of the State (if applicable):** The warehousing/cold storage license, wherever applicable, along with name of the authorized authority concerned and period of validity is to be verified. A copy of the license may be collected, if applicable.
  - b) **Industrial license / DIC registration (as is applicable):** Name of the issuing authority along with validity period is to be verified.
  - c) **No Objection Certificate from Pollution Control Board (if applicable under local laws):** Name of the issuing authority along with validity period is to be verified.
  - d) **Fire Safety Certificate / Approval (if applicable under local laws):** Name of the issuing authority along with validity period is to be verified.

**PHYSICAL INSPECTION REPORT FOR CONVENTIONAL WAREHOUSES**

1.	Name and Designation of the Inspecting Officer with E-mail address and Mobile number	
2.	Name of the Inspection Agency	
3.	Date of Inspection	
4.	Name and address of the Warehouseman	
5.	Complete address and Contact Details of the Warehouse	Village ..... Taluka ..... /Tehsil..... District..... PIN..... State..... Phone/Fax/Mobile Number- ..... E-mail address - .....
6.	Name of Authorised Representative of the Warehouseman	
7.	Full address, phone numbers and E-mail of the authorised representative of the Warehouseman.	

Sl. No.	Parameters	Observations/Findings of Examiners
<b>1.</b>		
1.	<b>Ownership/effective control of the warehouse</b>  (i) Whether owned warehouse/godown or hired/leased (ii) Proof of ownership and effective control of the warehouse as per <b>Annexure VI and VII</b>	
1.	<b>Structural Requirements:</b>  (i) No. of sheds/godowns, in the warehouse for which registration is sought. (ii) Size (Length x Breadth) of the shed (s) (in Meters) of such sheds / compartments for which registration is sought. (iii) Total capacity of warehouse (in tons) for which registration is sought. (iv) Connectivity of the warehouse with State/National	

	<p>Highways and nearest railhead. (Give distance in Kilometre from nearest railhead). (The warehouse should be accessible by an all-weather motorable road The godown may preferably be situated near a transport head or a main road. If the godown is located in the interior, then it must have accessibility through an approach road).</p> <p>(v) Whether the godown(s) are constructed as per BIS/ CWC/ FCI Standards? if so give details. (As per BIS Standard no. IS16144:2014, the godown should be located on a raised well-drained site and not liable to flooding.</p> <p>(vi) Whether flooring in the godown is rodent proof, damp proof, rigid, durable and free from cracks or crevices.</p> <p>(vii) Plinth height (The WDRA has fixed plinth height at 61 cm from the internal road level for the road fed godowns as a standard, which may vary according to topography. In case of rail fed godowns, minimum plinth height of 91 cm above the top of the rail shall be required)</p> <p>(viii) Whether there are any trees within 3 meter of the godown platform</p> <p>(ix) Please indicate whether the warehouse is located near any of the following:</p> <ol style="list-style-type: none"> <li>a) Within 500 meters from a bone crushing mill, garbage dumping ground, slaughter house, tanneries and hide curing centre or sewage treatment plant or such other places, the vicinity of which is deleterious to the safe storage of non-perishable agricultural commodities.</li> <li>b) Within 300 meters of kilns, dairies (processing units) and poultry runs</li> <li>c) Within 150 meters of Factories and other sources of fire and environmental hazard such as workshops, hay stacks, timber stores, petrol pumps, CNG stations and LPG bottling plants</li> </ol> <p>(x) Whether there is sufficient parking space and manoeuvring space for vehicles.</p> <p>(xi) Whether doors of sufficient width and adequate ventilators and air inlets are provided.</p> <p>(xii) Whether there are adequate arrangements for drainage of rain water to avoid flooding.</p> <p>(xiii) Whether the walls are properly plastered and painted/white washed free from cracks and crevices.</p> <p>(xiv) Whether sufficient lighting is provided in the</p>	
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	<p>godown to facilitate stacking, loading, unloading and other operations.</p> <p>(xv) Whether there is provision of turbo ventilators.</p> <p>(xvi) Whether there is provision of translucent sheets on the roof for natural lighting.</p> <p>(xvii) Observations about storage worthiness of the warehouse(s) with details.</p> <p>(xviii) Observations about cleanliness and hygienic condition of warehouse.</p>	
3.	<p><b>Steps taken for scientific storage and preservation of stored commodities/goods:</b></p> <p>(i) Whether proper stack plan has been prepared? (Give stack size and total number of stacks in the shed) and enclose copies of stack plan.</p> <p>(ii) Whether adequate quantity of dunnage material, such as, wooden crates, poly pallets, polythene sheets, patera mats, etc. are available in the warehouse? (Give details such as, type of dunnage and number).</p> <p>(iii) If already undertaking storage, whether stacking is proper and space for inspection, chemical treatment and warehousing operation (alleyways and haulage is available.</p> <p>(iv) Whether stack cards with proper entries about date of receipt/issue, quality/grade, quantity of stored goods and chemical treatments are available?</p>	
5.	<p><b>Security Arrangements:</b></p> <p>(i) Whether the warehouse has well- protected pucca boundary walls/barbed wire fencing.</p> <p>(ii) No. of gates with locking arrangements.</p> <p>(iii) No. of entry points.</p> <p>(iv) No. of exit points</p> <p>(v) Whether the entry and exit points are manned by trained security guards?</p> <p>(vi) Nearest Police Station or Check Post and distance from the warehouse.</p> <p>(vii) Whether there are proper night lighting arrangements for the security purpose?</p>	
6.	<p><b>Fire-fighting arrangements:</b></p> <p>(i) Whether adequate fire-fighting arrangements are there in the warehouse?</p> <p>(ii) If so, details of arrangements including number and type of fire extinguishers, fire buckets, etc. to be given.</p> <p>(iii) Name of the nearest Fire Station and distance from the warehouse.</p> <p>(iv) Whether telephone numbers of nearest Fire Station are displayed at different prominent places in the warehouse or not?</p> <p>(v) Any other information like fire hydrant static water</p>	

	tank etc.	
7.	<p><b>Equipment, items and chemicals for preservation of stored goods:</b></p> <p>(i) Whether all the equipment's, such as, sprayers/foggers, phosphine detectors, gas mask, canisters, gloves, gum boots, goggles, aprons, etc. are available? Give list of each item in Annexure IV.</p> <p>(ii) Whether adequate number of fumigation covers, adhesive tapes, sand snakes are available for fumigation of foodgrains and if so, give details.</p> <p>(iii) Whether sufficient quantity of pesticides for prophylactic treatment, fumigation and rodent control are available in the warehouse? (Give details from pesticide stock register indicating quantity, batch no. and date of expiry).</p> <p>(iv) In case preservation services have been outsourced, details of the outsourced agency.</p> <p><b>Note:</b> A list of minimum equipment required for preservation of foodgrains and setting up a physical analysis laboratory in a warehouse is given in <b>Annexure IV</b>.</p>	
8.	<p><b>Arrangements for weightment of goods:</b></p> <p>(i) Whether the warehouse has its own electronic lorry weigh-bridge? (not mandatory for accreditation)</p> <p>(ii) If so, its capacity, make and date of last stamping.</p> <p>(iii) If not, details of other weighing equipments viz. platform scales, beam scales available</p> <p>(iv) Whether the weighing equipments used in the warehouse are timely calibrated and duly stamped by concerned Weights &amp; Measures Department.</p> <p>(v) In case of non availability of own lorry weighbridge, the following details may also be provided –</p> <p>(a) address of at least one or more external stamped weighbridge available in the vicinity to be used for weightment;</p> <p>(b) name of owner of the weighbridge;</p> <p>(c) distance of the weighbridge from the warehouse.</p>	
9.	<p><b>Office facilities:</b></p> <p>Whether sufficient office equipment, viz. telephone, computers, photocopiers, fax and furniture (table, chairs, almirah, etc.) are available in the warehouse and if so, please give details.</p>	

10.	<p><b>Laboratory facilities:</b></p> <p>(i) Whether facilities for testing of goods to be stored in the warehouse are available?</p> <p>(ii) If so, give details of the equipments, such as, samplers, moisture meter, physical analysis instruments, weighing balances, hectolitre weight apparatus, sieve sets, magnifying glass, measuring cylinder, vernier calliper, sample bags, enamel plates etc. (Give list of these equipments).</p> <p>(iii) Name of the laboratory, if any selected / used for chemical testing of commodities.</p>	
11	Goods for which registration has been sought – suitability of the warehouse infrastructure for storage of such goods.	

**Signature of Inspecting Officer**

**Name, Designation and Seal of Agency / Inspecting Officer**

**PHYSICAL INSPECTION REPORT FOR WAREHOUSE (SILOS)**

1.	Name and Designation of the Inspecting Officer with E-mail address and Mobile number	
2.	Name of the Inspection Agency	
3.	Date of Inspection	
4.	Name and address of the Warehouseman	
5.	Complete address and Contact Details of the Warehouse	Village ..... Taluka ..... /Tehsil..... District..... PIN..... State..... Phone/Mobile Number-..... E-mail address - .....
6.	Name of Authorised Representative of the Warehouseman	
7.	Full address, phone numbers and E-mail of the authorised representative of the Warehouseman.	

Sl. No.	Parameters	Observations/Findings of Examiners
<b>1.</b>		
1.	<b>Ownership/effective control of the warehouse</b>  (i) Whether the silo is owned or hired/leased (ii) Proof of ownership and effective control of the silo as per <b>Annexure VI and VII</b>	
1.	<b>Structural Requirements:</b>  (i) No. of silo bins, for which registration is sought. (ii) Size (Length x Breadth)/(Diameter x Height) of the silo bins (s) (in Meters) of such silo bins / compartments for which registration is sought. (iii) Total capacity of warehouse (in tons) for which registration is sought. (iv) Connectivity of the warehouse with State/National Highways and nearest railhead. (Give distance in Kilometre from nearest railhead).	

	<p>(v) Whether sufficient loading, unloading and berthing facilities are available. (Silos, as far as possible, shall be located near railheads. There shall be loading and unloading facilities and sufficient space for manoeuvring vehicles and railway line. Where silo is near water-ways, such as docks and ferry heads, sufficient berthing, loading and unloading facilities shall be made available).</p> <p>(vi) Whether the silo is located well away from source of contamination, such as slaughter-house and tanneries, and possible source of fire-hazard, such as a timber stores and petrol pumping station.</p> <p>(vii) Whether the silo(s) are constructed as per BIS? If so give details. As per BIS Standard IS:5503 (Part I-II):1969, reaffirmed 2005, the silo should be located on a raised well-drained site having proper drainage and not liable to flooding or inundations.</p> <p>(viii) Whether the silo has truck and wagon dump or both, head-house, storage block, and distribution and collection galleries.</p> <p>(ix) Whether it has required ancillary structures viz. a small block consisting of office and store rooms, a laboratory, a non-combustible store-building for storing fuels, a bagging shed, a track shed where the wagons or lorries may be handled under cover, repair shop, weigh bridges for lorries and wagons, an electrical substation, and a control room.</p> <p>(x) Whether the truck dump and wagon dump are adequately protected against rain and provided with suitable metal sieves with sufficient strength for separation of foreign matter, such as hay or other bigger sized grains.</p> <p>(xi) In order to avoid water accumulation in the dump, whether the dump has adequate provision for drainage.</p> <p>(xii) Whether the headhouse accommodates the buckets elevators for vertical elevation of grains, automatic weighing and cleaning machines, garners and other handling equipment.</p> <p>(xiii) Indicate the shape of the silo bins, whether circular, rectangular or of any other shape.</p> <p>(xiv) Whether the bins are constructed of reinforced cement concrete or of steel.</p> <p>(xv) Whether the silo bins are arranged in rows (not exceeding four rows) with the headhouse located in a convenient position.</p> <p>(xvi) Plinth height of silo bins (the concrete mat on which the silos bins stand shall be deemed as the</p>	
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	<p>plinth, unless the mat is laid directly on hard soil or rock. The plinth level shall be at least 0.5 m higher than the maximum rain water level).</p> <p>(xvii) Whether the silo has the following facilities for proper storage and upkeep of foodgrains stored therein:</p> <ul style="list-style-type: none"> <li>• Cleaning Machines.</li> <li>• Drying Equipment.</li> <li>• Temperature Detection Equipments.</li> <li>• Aeration Equipment.</li> <li>• Fumigation Pumps.</li> <li>• Weighing and Bagging Machines.</li> <li>• Fire-Fighting Equipment.</li> <li>• Control Room.</li> </ul> <p>(xviii) Whether there is sufficient parking space and manoeuvring space for vehicles.</p> <p>(xix) Whether there are adequate arrangements for drainage of rain water to avoid flooding.</p> <p>(xx) Whether sufficient lighting is provided inside the silo area for proper operations.</p> <p>(xxi) Observations about cleanliness and hygienic condition of silo.</p>	
3.	<p><b>Steps taken for scientific storage and preservation of stored commodities/goods:</b></p> <p>(i) Whether silo bins are leak proof.</p> <p>(ii) Whether there are proper arrangements for inspection, chemical treatment and cleaning/recirculation of stocks.</p>	
5.	<p><b>Security Arrangements:</b></p> <p>(i) Whether the silo complex has well-protected pucca boundary walls. Also indicate</p> <ol style="list-style-type: none"> <li>a) No. of gates with locking arrangements.</li> <li>b) No. of entry points.</li> <li>c) No. of exit points</li> </ol> <p>(ii) Whether the entry and exit points are manned by trained security guards?</p> <p>(iii) Nearest Police Station or Check Post and distance from the silo.</p> <p>(iv) Whether there is proper lighting arrangement in the complex for security purpose?</p> <p>(v) Whether safety precautions in regard to the dust pollution and operation of various mechanical and electrical equipment in the silos are observed strictly, give details.</p> <p>(vi) Whether inspection and necessary cleaning of the whole structure is carried out periodically to avoid fire-hazard, explosions and other accidents, which</p>	

	may result in loss of valuable grain, equipment and human life.	
6.	<p><b>Fire-fighting arrangements:</b></p> <p>(i) Whether fire-fighting arrangements are there in the Silo?</p> <p>(ii) If so, details of arrangements including number and type of fire extinguishers, sand buckets, fire hydrants, static water tank, fire alarm, fire control system etc. to be given.</p> <p>(iii) Whether the silo has necessary clearance from the local fire office.</p> <p>(iv) Name of the nearest Fire Station and its distance from the Silo complex.</p> <p>(v) Whether telephone numbers of nearest Fire Station are displayed at different prominent places in the complex?</p> <p>(vi) Whether fire licence obtained from the local authorities.</p>	
7.	<p><b>Equipment, items and chemicals for preservation of stored goods:</b></p> <p>(i) Whether all the equipment's, such as, sprayers/foggers, phosphine detectors, gas mask, canisters, gloves, gum boots, goggles, aprons, etc. are available? Give list of each item in Annexure IV.</p> <p>(ii) Whether adequate arrangements for fumigation of food grains are there and if so, please give details.</p> <p>(iii) Whether sufficient quantity of pesticides for prophylactic treatment, fumigation and rodent control are available in the silo? (Give details from respective pesticide stock register indicating, quantity, batch no. and date of expiry).</p> <p>(iv) In case preservation services have been outsourced, details of the outsourced agency.</p> <p><b>Note:</b> A list of minimum equipment required for preservation of food grains and setting up a physical analysis laboratory in the storage facility is given in <b>Annexure IV</b>.</p>	
8.	<p><b>Arrangements for weighment of goods:</b></p> <p>(i) Whether the silo has its own electronic lorry weigh-bridge? (not mandatory for accreditation)</p> <p>(ii) If so, its capacity make and date of last stamping.</p> <p>(iii) Whether there is provision of weighing machines for weighing incoming grain, in the head house and at the issue point.</p> <p>(iv) If so, its capacity make and date of last stamping.</p>	
9.	<b>Office facilities:</b>	

	Whether sufficient office equipment, viz. telephone, computers, photocopiers, fax and furniture (table, chairs, almirah, etc.) are available in the warehouse and if so, please give details.	
10.	<p><b>Laboratory facilities:</b></p> <p>(i) Whether facilities for testing of goods to be stored in the silo are available?</p> <p>(ii) If so, give details of the equipments available for quality testing of goods received for storage. ,</p> <p>(iii) Name of the laboratory, if any selected / used for chemical testing of commodities.</p>	

**Signature of Inspecting Officer**  
**Name, Designation and Seal of Agency / Inspecting Officer**

**Annexure- III**

**PHYSICAL INSPECTION REPORT OF THE WAREHOUSE (COLD STORAGE)**

1.	Name and Designation of the Inspecting Officer with E-mail address and Mobile number	
2.	Name of the Inspection Agency	
3.	Date of Inspection	
4.	Name and address of the Warehouseman	
5.	Complete address and Contact Details of the Warehouse (cold storage)	Village ..... Taluka ..... /Tehsil..... District..... PIN..... State..... Phone..... Fax..... Mobile Number-..... E-mail address - .....
6.	Name of Authorised Representative of the Warehouseman	
7.	Full address, phone numbers and E-mail ID of the authorised representative of the Warehouseman.	

Sl. No	Parameters	Observations/Findings of Examiners
<b>1.</b>	<b>Ownership/effective control of the warehouse (cold storage)</b>	
	(iii) Whether owned warehouse (cold storage) or hired/lease (iv) Proof of ownership and effective control of the warehouse (cold storage) as per <b>Annexure VI and VII</b>	
<b>2</b>	<b>About the Description of Cold Storage Warehouse</b>	
	a. Whether a registered architect has certified the civil structure of cold storage to be as per provisions of BIS Standard and local building by-laws and as per building plan duly approved by competent authority.	
	b. Whether any engineering firm having expertise in refrigeration engineering has certified that the cold storage has been commissioned as per basic data sheet to be	

collected and enclosed.				
c. Total capacity of warehouse (cold storage) (in MT) and number of chambers and dimensions (in Meters).				
i. Number of Chambers =				
ii. Type of Mezzanine / Palletized =				
iii. Max Height of Building =				
iv. Total capacity =				
v. Total storage area in m <sup>2</sup> = (sum of all storage chambers internal area)				
Serial number of chamber	Dimension (Internal chamber dimension L x B x H (m))	Number of Mezzanine floors ( X Height (m) per floor)	Stocking system (ex. Overstock bin, Racking)	Capacity
d. Ante Room & Process / handling Areas (This areas will not be considered for calculating the capacity of the cold storages)				
Details	Length (m)	Width (m)	Height (m)	
Ante Room				
Handling and receiving area (covered, open shed)				
Sorting & Grading Area				
Loading / Unloading dock / platform				
e. Machine Room, generator room, admin. block & Utility Areas				
Details	Length (m)	Width (m)	Height (m)	
Machine Room area				
Generator Room area				
Office room / admin. Block area				
Toilet & Changing Room area				
Any other				
f. Cold store doors / internal emergency doors				
Description	Findings			
No. of doors per chamber				
Type hinged / sliding / Rolling				
Size of door opening (internal clear opening dimension (W x H)				

	Insulation Material	
	Internal Emergency Door release (Internal release mechanism for emergency opening even when locked from outside or push button type alarm located inside the cold chambers near the door)	
	<p>g. Cooling system design detail (cooling system configuration: mechanical refrigeration)</p> <ul style="list-style-type: none"> <li>i. Type of refrigeration =</li> <li>ii. Total refrigeration system capacity =</li> <li>iii. Type of compressors (Reciprocating / Screw / Scroll) =</li> <li>iv. Type of condensers =</li> <li>ii. Type of Evaporators / Air cooler =</li> <li>iii. Type of defrosting system (Air/Water/Electric/Hot gas) =</li> <li>iv. Humidification system &amp; control (specify the method of humidification equipment used) =</li> </ul> <p>h. Refrigeration equipment details</p> <ul style="list-style-type: none"> <li>i. compressor / rack detail =</li> <li>ii. condenser detail =</li> <li>iii. cooling tower details =</li> <li>ii. Pressure vessels detail =</li> <li>iii. Evaporators / Air cooling units =</li> </ul> <p>i. Electrical installations:</p> <ul style="list-style-type: none"> <li>1. Brief including (total connected load in KW, estimated power requirement at holding load period and lean load period, capacity of transformer, size of capacitor):</li> <li>2. Make and capacity of standby D.G. Sets:</li> </ul>	
3	<b>Goods stored / to be Stored and Storage Conditions</b>	
	a. List out the Goods to be stored in the Cold Store for which registration is required. Whether the warehouse (cold storage) has prescribed critical storage conditions for goods stored / to be stored?	
	b. Whether quality grading system adopted is commensurate with AGMARK, CODEX or any other standard?	
	c. Whether the arrangement for quality Grading is adequate? Whether testing facilities of goods to be stored in the warehouse (cold storage), in form of a small laboratory, are available? If so, give details of major tests which can be carried out.	
	d. List of laboratories for chemical analysis of the samples (wherever necessary) with whom the warehouse (cold storage) has tied up.	
	e. Whether norm for determining shelf-life of produce to be stored is fair and rational?	
	f. Whether the warehouse (cold storage) has its	

	own weigh-bridge? If so, the details with capacity and date of last calibration.	
	g. No. of platform balances with their details.	
	h. Whether the weights/platform balance used in the warehouse (cold storage) are timely calibrated and duly stamped by concerned weights & measures Department.	
	i. Whether the Programmable Logic Controller (PLC) readings for past period support claim that critical storage conditions are generally achieved in warehouse (cold storage)?	
	j. Whether automatic data logger for power-pack operation is in place?	
	k. Whether electricity consumption is within acceptable limits of design consumption levels?	
	l. Whether the fire alarm and refrigerant leakage alarm systems are functional?	
	m. In case of renewal of registration, whether the surveillance data is supportive of proper system management?	
	n. List of banks operating within approachable distance from the warehouse (cold storage). Any tie up of the warehouse (cold storage) with any of these banks.	
4	<b>Managerial Competence, Man Power and Security Arrangement</b>	
	a. Whether the warehouses (cold storage) has a full-time manager who is well conversant with provisions of Warehousing (Development and Regulation) Act 2007 and Management of warehouses (cold storage) for perishable food items?	
	b. Whether the warehouses (cold storage) has engaged a full time or part time project engineer who is registered with a recognised professional organisation ?	
	c. Whether the warehouse (cold storage) has a full time supervisor who can monitor functioning of cold storage through PLC and who is conversant with stacking, packaging and cold storage management?	
	d. Whether sufficient office equipment, viz. telephone, computers, photocopiers, fax and furniture (table, chairs, almirah, etc.) are available in the office(s) of the warehouse (cold storage)?	
	e. Whether the warehouse (cold storage) has adequate number of	

	functional staff for carrying out functions like quality grading, weighing, sampling, account keeping, record management and security arrangement?	
	f. Whether the Operating Manual followed by the Cold store is self-explanatory and elaborate and displayed at relevant places?	
	g. Whether the manual for dealing with fire hazard, leakage of refrigerant is self explanatory and displayed at relevant places?	
	h. Whether the warehouse (cold storage) has security men's cabin and proper lighting in the campus?	
	i. Whether the warehouse (cold storage) is fully protected by a compound wall / barbed wire fencing?	
	j. Whether internal emergency door release (Internal release mechanism for emergency opening even when locked from outside or push button type alarm located inside the cold chambers near the door)	
	k. Whether gate pass system in the warehouse (cold store) is followed properly?	
5	<b>Storage Worthiness of Cold Storage Warehouse</b>	
	a. In case the cold storage has been set up under Government scheme of NHB / NCCD / SHM as per technical standards prescribed by DAC or APEDA/MoFPI etc. then, i. Whether the copies of basic data sheet, obtained from the cold store and enclosed, is approved by the concerned agency ii. Whether Joint Inspection Report issued by the Joint Inspection Team deputed by concerned scheme implementing agency has certified that the project has been found to be commissioned as per prescribed technical standards?	
	b. In case the warehouses (cold storage) has not been set up under any government scheme of NHB / NHM/SHM/ APEDA/ MOFPI or has not been setup following implementation protocols prescribed as per Technical Standards approved by DAC, then, i. whether the basic data sheet being provided by the applicant is accompanied by a certificate from a chartered graduate mechanical /	

	<p>refrigeration engineering firm saying that-  <i>“the heat load calculation has been done as per procedure laid down by ASHRAE Fundamentals and Refrigeration Handbook with safety factor of 5% to 10% on estimated load and that the design is energy efficient, takes in to account safety of work men and goods stored and environmental concern.”</i></p> <p>ii. Whether the refrigeration contracting agency has issued a certificate of satisfactory commissioning of the cooling system in conformance to the performance indicators as per prescribed standards.</p> <p>iii. The refrigeration contracting agency has provided “as built drawings”, including cold store layout, P&amp;I and electrical drawing and an operation &amp; maintenance manual along with a list of essential spare parts.</p>	
	c. If energy-efficiency devices, automated controls and fire & refrigerant leakage alarms have been put in place and are functional?	
	d. If operation of warehouses (cold storage) can be effectively controlled and monitored by PLC?	
	e. If energy consumption level is within 10-20% of design level?	
	f. Whether stacking, packaging and handling system is as per design and prescribed guidelines	
	g. Whether fire-fighting arrangements are there in the warehouse (cold storage)? If so, detail of arrangements including number and type of fire extinguishers, sand buckets, etc. to be given.	
	h. Name of the nearest Fire Brigade Station and distance. Whether telephone numbers of nearest Fire Station are displayed at different prominent places in the warehouse?	
	i. Name and Address of Annual Maintenance Contract Agency	
6	<b>Overall observation</b>	

Date-

Place-

Signature of Inspecting Officer

Name, Designation and Seal of Agency / Inspecting Officer

**Annexure-IV**

**Minimum equipment required for physical analysis laboratory in a warehouse and preservation of Agri Commodities.**

<b>Sl. No</b>	<b>Name of the Equipment</b>	<b>Minimum number required</b>
<b>II. Mandatory Equipment</b>		
(A)	FIXTURES/FURNITURE IN PHYSICAL ANALYSIS LABORATORY*.	
(B)	EQUIPMENT	
1.	Physical balance (01mg sensitivity)	1
2.	Counter Balance (01gm sensitivity)	1
4.	Digital moisture meter calibrated for all the commodities to be stored/ handled	1
5.	Sieve Set	1
6.	Scoops (different sizes)	Two sets
7.	Forceps and brushes	Two each
8.	Weight Box (1mg to 200gm)	One set
9.	Enamel plates with smooth white surface (30 cm dia with raised rims)	10
10.	Sample bags-polythene and cloth	As per requirement
11.	Parkhi (Bag trier)	As per requirement
12.	Sample seal	1
13.	Magnifying glass (magnification 10X)	2
14.	Petri dish (80X70 mm)	As per requirement
15.	Measuring Cylinders (5ml to 50ml) graduated	
(C)	DISINFESTATION	
1.	Recommended Pesticides ( for prophylactic and curative treatments) and rat control	As per requirement
2.	Rat cages (wonder traps)	As per requirement
3.	Thermoplastic fumigation covers (IS No. 13217:1991) or	As per requirement
4.	Multilayered cross laminated fumigation sheets/covers	As per requirement

	(IS 14611:1998)	
5.	Foot / Hand sprayers	As per requirement
6.	Sand snakes	As per requirement
7.	Adhesive tape	As per requirement
(D)	OTHER ITEMS / EQUIPMENT	
1.	Tarpaulin	2
2.	Ladder	1
3.	First Aid box	1
4.	Fire extinguishers	As prescribed in Annexure V
5.	Fire buckets	As prescribed in Annexure V
6.	Platform Scales	As per need
(E)	SAFETY EQUIPMENT	
1.	Gum boots	As per need
2.	Goggles	As per requirement
3.	Gas Mask (full vision face mask) & Canister for use with Alp	As per requirement
(F)	DUNNAGE MATERIALS	
1.	Polythene film	As per requirement
2.	Bamboo mats	As per requirement
3.	Wooden crates/poly crates	As per requirement
<b>III. Desirable Equipment*</b>		
1.	Hectolitre weight apparatus	1
2.	Sample Divider	1
3.	Vernier Caliper (manual/ Digital)	1
4.	Thermo-hygrometer	2
5.	Glass wares (Beakers 500 ml , Funnels ,Flasks conical 200 ml & 500 ml)	Two each
6.	Filter papers	As per requirement
7.	Chemical reagents: (i) Methylene Blue solution (ii) Dilute hydrochloric acid (iii) distilled water ( for determination of de-husked grain in rice)	As per requirement
8.	Specimen tubes	10

9.	Metal Probe ( 12 ft long for introducing ALP tablets)	As per requirement
11.	Phosphine Alert personal monitor	1
12.	Phosphine gas Monitor	1
13.	Tool Box (for minor repairs of pesticide application equipments)	As per requirement
14.	Dust Mask	As per requirement
15.	Aprons	As per requirement
16.	Resuscitator	1
17.	SCBA (Self Contained Breathing Apparatus)	As per requirement

**\* These equipment are desirable but not mandatory for registration of a warehouse**

**Annexure - V**

**Norms for fire extinguishers of appropriate type and fire buckets with sand and water in different capacity warehouses**

<b>S. No</b>	<b>Capacity of Godown</b>	<b>No. of fire extinguishers to be provided</b>	<b>No. of fire buckets to be provided</b>
1.	Upto 1,500 MTs	3	15
2.	Above 1,500 MTs and upto 3,000 MTs	4	20
3.	Above 3,000 MTs and upto 5,000 MTs	6	30
4.	Above 5,000 MTs and upto 10,000 MTs	8	40
5.	Above 10,000 MTs and upto 15,000 MTs	10	50
6.	Above 15,000 MTs and upto 25,000 MTs	15	75
7.	Above 25000 MTs	25	125

**Note: Appropriate type of Extinguishers as per the class of fire**

<b>Type of Fire</b>		<b>Type of Fire Extinguishers</b>
<b>Category</b>	<b>Details</b>	
CLASS -A	Fires involving solid combustible materials of organic nature such as wood, paper, rubber, plastics, etc, where the cooling effect of water is essential for extinction of fires.	Water, foam, ABC dry power and halocarbons.
CLASS- B	Fires involving flammable liquids or liquefiable solids or the like where a blanketing effect is essential. Examples: Oil, Paraffin, Petrol.	Foam, dry powder, clean agent and carbon dioxide extinguishers.

CLASS- C	Fires involving flammable gases under pressure including liquefied gases, where it is necessary to inhibit the burning gas at fast rate with an inert gas, powder or vaporising liquid for extinguishment.Examples: Methane, Butane, Propane.	Dry powder, clean agent and carbon dioxide extinguishers.
CLASS -D	Fires involving combustible metals, such as magnesium, aluminium, zinc, sodium, potassium, etc, when the burring metals are reactive to water and water containing agents and in certain cases carbon dioxide, halogenated hydrocarbons and ordinary dry powders. These fires require special media and techniques to extinguish.Examples: Magnesium, Titanium, Aluminum.	Extinguishers with special dry powder for metal fires.
CLASS- E	Electrical fires. It is important to decide selection and use of extinguisher on live electrical installations. The extinguisher that have passed electrical conductivity test should only be used	Carbon Dioxide

**Documents to demonstrate ownership of warehouse concerned by applicant**

The applicant must submit the following documents to exhibit ownership over the warehouse concerned.

- (a) copy of the record of rights of land on which the warehouse concerned is located;
- or
- (b) if the record of rights is not available, a registered title deed in respect of the land on which the warehouse concerned is located.

**Documents to demonstrate effective control of warehouse concerned by applicant**

The applicant must submit any one of the following documents to exhibit effective control over the warehouse concerned —

- (1) a copy of the lease deed or rent agreement in respect of the warehouse concerned, or any other document evidencing a lease or rent, in favour of the applicant;
- (2) in case of sub-lease, a copy of the lease deed indicating that sub-leasing is permitted, and a copy of the sub-lease deed. The lease deed must permit a sub-lease with effective control over the warehouse concerned;
- (3) a revenue sharing agreement in respect of the warehouse concerned, with clear mandate to the applicant to manage and operate the warehouse;
- (4) no objection certificate from the municipal corporation/panchayat/local body, as the case may be, for carrying out the business of warehousing in favour of the applicant on land owned by the municipal corporation/panchayat/local body ;
- (5) copy of lease document from the concerned Agricultural Produce Marketing Committee or Board indicating lawful possession of land/ warehouse in favour of the applicant; or
- (6) copy of the allotment letter by respective State Government indicating lawful possession of land/warehouse, in favour of the applicant.

**Model Basic Data Sheet for Cold Storage**

(Ref. Section 2 of relevant Technical Standards of NHB as is applicable)

**A. Identification**

Name of the warehouse (cold storage)			
Location of warehouse (cold storage)	Area / Village	Town	
	District	State	
Name of Promoter Company / Owner			
Type of company (Proprietorship / Partnership / Pvt. Ltd / Ltd)			
Postal address of Promoter	Tel / Fax	Mob. No	E-mail
Present activity in brief			
Name of CEO / MD			
Name of Manager / Contact Person			Phone / Mobile No

**B. Basic Cold Store Design Considerations i) Commodity Storage Requirements****i) Commodity Storage Requirements**

Type of Commodities/Produce		
Ideal / Recommended Storage Conditions – Temperature (DB in °C) – Humidity RH (%) Range – Air Circulation (CMH/MT of Produce) – Ventilation (Air Changes/Day) – CO <sub>2</sub> Range (PPM) – Produce Cooling Rate ( °C/day) – Freezing Point °C – Others		
Cold Chamber Dry bulb (DB in °C)		
Cold Chamber RH (%)		
Max Storage period (months)		
Max product temp (°C) – at the time of loading		
Daily loading rate (MT/day) – in each cold chamber		
Loading Period (months)		
Pull down rate (°C / day)		
Unloading Period (months)		
Daily unloading rate (MT/day) – from each cold chamber		
Ante Room Conditions (T °C & RH %)		
Sorting & Grading Area (T °C & RH %)		
Special Provisions – CIPC treatment for Process Potatoes		
Special Provisions – MA / Ethylene Control / Fumigation/ Fresh Air etc		

## ii) Fresh Air / Ventilation System

Brief Description of CO <sub>2</sub> Extraction / Ventilation System	
CO <sub>2</sub> Concentration Control Range (PPM)	
Monitoring & Control Instrument – Type – Accuracy	
Ventilation Capacity (Max Air Changes/Day)	
Design Considerations for Energy Recovery and Preventing Wetting of Produce	

## iii) Cold Store Chamber Sizing and Capacity

1. No. of chambers:
2. Type : Mezzanine/ Palletized
3. Max Height of Building

Details	CS Chamber 1	CS Chamber- 2	CS Chamber- 3	CS Chamber- 4
Total Capacity of Each Cold Store Chamber ( MT)				
Internal Chamber Dimensions L x B x H (m)				
No. of mezzanine floors X Height (m) per floor				
Size & Weight of Bags or Boxes being stored				
Total number of Bags/Boxes / crates stored in each Cold Store Chamber				

## iv) Ante Room & Process Areas

Details	Length (m)	Width (m)	Height (m)
Ante Room			
Sorting & Grading Area			
Loading / Unloading dock			

## v) Machine Room & Utility Areas

Details	Length (m)	Width (m)	Height (m)
Machine Room			
Office Area			
Toilets & Changing rooms			
Any other			

**vi) Building & Construction Details**

- **Type of construction :** Civil/ Pre-engineered Building

Type of External walls of cold chambers	
Type of Internal / Partition walls	
Type of Roof / Ceiling	
Type of Internal structure / Racks	
Type of mezzanine grating	
Types of Lighting fixtures in cold Chambers	
Types of Lighting fixtures in Process & Other Areas	

**vii) Insulation and Vapor Barrier**

- **Type of Insulation :** Insulating Sheets / Metal Skin Composite panels

Type of Insulation	Wall		Ceiling / Roof	Floor
	External	Internal		
Type of material EPS / Metal Skin PUF Composite Panels / XPS/ PUR, Others				
Relevant IS Code				
Density (kg/m <sup>3</sup> )				
Thermal Conductivity at +10°C k value ( W/m.K)				
Thermal diffusivity m <sup>2</sup> /h				
Water vapour transmission rate, ng/Pa.sm, Max.				
Water absorption after 24h immersion, percentage by mass.				
Relevant IS Code of Practice for Thermal Insulation of Cold Store				
Total Insulation Thickness (mm)				
No. of layers & Thickness / layer (mm)				
Type of vapor barrier & thickness (microns)				
Type of Bituminous/Sticking Compound				
Type of Cladding / Covering/External Finish				

Locking/Fixing & Sealing System in case of Metal Skin Composite Panels				
Any other info				

**viii) Cold Store Doors & Air Curtains**

<b>Type of Insulation</b>	<b>Details</b>
No. of Insulated doors	
Type hinged / sliding	
Insulation Material EPS / PUF / Others	
Thickness of Insulation (mm)	
Type of cladding	
Size of door opening	
Provision of Strip curtains – nos. & overlap %	
Air curtains, if any	
Others	

**ix) Material Handling**

- **Proposed Practice :** Manual / Semi Automated /Automated

<b>Procedure</b>	<b>Brief Description</b>
Material Handling Procedures & Equipments	
Cap of Electric Elevator Rating of motor (kW)	
Any other device	

**x) Grading, Sorting Washing & Packing Line (optional)**

- **Proposed Practice :** Manual / Semi Automated /Automated

<b>Procedure</b>	<b>Brief Description</b>
Process Line	
Total Connected Load (kW)	

*Please attach a Plan & Layout of the proposed Cold Store unit in accordance to the Statutory Building By-Laws and BIS Building Codes & Standards duly approved by a Registered Architect and Structural Engineer. The drawings should detail out insulation type, thickness, and fixing methodology in sectional details.*

### C. Heat Load Calculation of Cooling System – Summary

Ambient Conditions	Summer	Monsoon	Winter
Dry Bulb Temperature (°C)			
Wet Bulb Temperature (°C)			

Refrigeration Load	During Loading (kW)	During Pull Down (kW)	During Holding (kW)
Transmission Load			
Product Load			
Internal Load	Lighting load		
	Occupancy load		
Infiltration Load			
Ventilation/ Fresh Air Load			
Equipment Load - Fan motors etc.			
Total Load (kW/24 hrs)			

<b>Compressor Operation Hours/Day</b>	Loading Period		
	Pull Down Period		
	Holding period		
<b>Multipliers</b>	Safety Factor		
	Defrost Period		
<b>Total Refrigeration Load</b>	<b>Peak Period</b>	<b>Holding Period</b>	<b>Lean Period</b>
Total Load (KW)			

*Please attach detailed heat load calculation sheets of the proposed cold store unit in accordance to the prescribed Technical Standards and Guidelines duly approved by a Qualified Engineer.*

### D. Cooling System Design & Equipment Selection

#### i) Cooling System Configuration

Type of Refrigerant	Ammonia / Freon / Others
Type of System	Direct Exp / Gravity Feed / Overfeed
Type of compressor	Reciprocating / Screw / Scroll / Others
Type of capacity control	Automatic In steps / Step less
Type of condenser	Atmospheric / Evaporative / Shell & Tube / Plate Heat Exchanger / Other
Cooling Towers ( if applicable)	FRP Induced Draft / Others
Type of cooling coil	Ceiling suspended / Floor Mounted / Others
Type of defrosting	Air / Water / Electric / Hot gas
Humidification System & Control ( Brief Description)	

**ii) Compressor Detail**

Compressor Make & Model	Nos.	Comp. RPM	Operating Parameters Evap. SST. / Cond. Temp (°C)	Refrigeration Capacity (KW)	Motor Rating. (KW)	Total Electric Power. (BkW)	Remarks Working /Standby

**iii) Condenser Details**

Condenser Make & Model	Nos.	Operating Parameters Cond.Temp.(SDT)/ in/out water temp(°C) &flow (lps)	Condenser Capacity (kW)	Electric Fan /Pump Motor Rating (kW)	Total Electric Power (BkW)	Remarks Working /Standby

**iv) Cooling Tower Details ( if applicable)**

Cooling Tower Make & Model	Nos.	Operating Parameters DB & WB Temp, in/out water temp(°C)	Cooling Tower Capacity(KW)	Fan & Pump Capacity (CMH/LPS) & Motor (kW)	Total Electric Power (BkW)	Remarks Working /Standby

**v) Air Cooling Units (ACU)**

ACU Make & Model	Nos.	Operating Parameters Evap. (SST) & TD* (°C)	Cooling Capacity (kW)	Air Flow (CMH) & Face Velocity (M/S)	Material of Coil Tubes & Fins	Fin pitch (mm)	Total Fan Electric Power (BkW)

(\* ) TD – Temperature difference between Evap. (SST)<sup>0</sup>C & Return Air (at coil inlet).

*Please attach Detailed Technical Data Sheets of each equipment namely Compressors, Condensers, Cooling Towers, Air Cooling Units giving General Layout, Dimensions, Material of Construction, Rated Capacity, Operating Parameters and COP (please note that the Air Cooling Unit data sheet should include heat transfer area, fin spacing, no. of rows, air flow, face velocity, fan static, air throw, Fan Motor BKW/KW, fin spacing, etc ) duly Certified by the respective equipment manufacturers with reference to the Relevant Codes & Standards.*

### **E. Electrical Instillation**

Total Connected load (kW)	
Estimated power requirement at Peak Load Period (BkW)	
Estimated power requirement at Holding Load Period (BkW)	
Estimated power requirement at Lean Load Period (BkW)	
Capacity of Transformer (KVA) (proposed)	
Size of Capacitor for power factor correction & their operation	
Make & Capacity of standby D.G. Set (KVA)	

### **F. Safety Provisions**

Details of Fire Fighting equipment	Dry	
	Water based	
Handling Refrigerants & Leaks	Leak Detection	
	Handling measures	
Safety devices – LP/HP cut-outs, safety valves, shut off valves etc.		
Details of Emergency alarm system & push button system in cold chambers		
Emergency lighting in Cold chambers & other areas		
Lightening arrestors		
Any other safety provisions		

### G. Codes & Standards Followed

Building Design & Structure	
Construction Materials	
Thermal Insulation & Application	
Refrigeration Equipment & Systems	
Electrical & Mechanical Systems	
Food Safety	
Others	

### H. Energy Saving Equipment & Measures

Details of Energy Saving devices	Brief Description and Savings
Light Fixtures CFL/LED	
Natural Lighting for general areas	
VFD for fans / compressors	
Refrigerant Controls and Automation	
Air Purger	
Power Factor Controller	
Energy recovery heat-exchanger for Ventilation System	
Renewable/ Solar Energy e.g. PV lighting	
PLC Control, & Data Acquisition	
Any other features e.g. water recycling, rain water harvesting ...	

### I. Operation & Maintenance

Description	Nos. / Details
Proposed staff for Operation & Maintenance	
Proposed Annual Maintenance Contracts (if any)	
Training & Preventive Maintenance procedures	
Sanitation & Hygiene practice	
Pollution Control	

### J. Estimated Performance Parameters of Proposed Cold Store

Parameters	Peak Period	Holding Period	Lean Period
Coefficient Of Performance (COP) Of the Cold Store Unit			
Power Consumption (KWH/Day)			
Total Electricity Cost (Rs/Day)			
Electricity Cost towards Storage (Rs/ MT /Day)			

**K. Other Information**

**Enclosures-**

- i. Applicant must submit for record “as built drawings”, including cold store layout, P&I and electrical drawing and an operation & maintenance manual along with a list of essential spare parts supplied to him by the refrigeration contracting agency.
- ii. He should also submit a copy of the certificate of satisfactory commissioning of the cooling system in conformance to the performance indicators as per prescribed standards as issued by the refrigeration contracting agency to him.

*Note- For cold storages set up in accordance with technical standards prescribed by DAC in this regard and which case implementation protocol has been followed under Government schemes of NHM / HMNEHA/ NHB / APEDA / MOFPI etc., applicant may submit a copy of basic data sheet in prescribed format, duly approved by such scheme implementing agency at the time of issue of letter of intent/ in principle approval to the project proposal and also a copy of Joint Inspection Report prepared before release of subsidy under the scheme as evidence for storage worthiness of cold storage. Applications for cold storages not covered by this provision must submit basic data sheet in following format for latest position prior to date of application.*

Place .....  
Date .....

Signature and  
Name of Warehouseman with seal

Place .....  
Date .....

Signature and  
Name of Project Engineer with seal

## **Schedule 5: System / Procedure / Norms for General Inspection of Warehouses**

### **1. Introduction:**

One of the objectives of Warehousing Development and Regulatory Authority (WDRA) is to enhance the fiduciary trust of stakeholders such as depositors, banks and other financial institutions, commodity exchanges, etc. on the Negotiable Warehouse Receipts System. One of the means to accomplish this is through an effective supervisory regime and a robust inspection system.

### **2. Objectives of inspection:**

The main objective of inspection is to ensure and promote proper and healthy working of the warehouses by (i) observance of prescribed procedures, (ii) care and preservation of goods, (iii) up-to-date maintenance of accounts and other records, (iv) compliance to other regulatory requirements for continuing registration with the WDRA. The process of general inspection, therefore, aims at mitigating the risks to the NWR holders as well as NWR market as under:

#### **a. Risk to holder of NWRs**

- i. poor quality preservation of goods;
- ii. inaccurate recording of details of goods or the depositor and subsequent NWR holders;
- iii. frauds, theft, burglaries and natural disasters; and
- iv. non-delivery of goods.

#### **b. Risks to the NWR market. This risk arises due to the failure of the regulatory system in ensuring the safety of the market. This may happen due to:**

- i. inadequate compliance requirements;
- ii. inadequate supervision of the NWR market, caused by:
  - low priority on supervision; and /or
  - defective design of the supervisory framework that causes WDRA to miss out on risks that actually threaten the NWR market.

### **3. Physical verification of infrastructure:**

This involves a walkthrough of the warehouse immediately on start of the inspection to check for the storage worthiness of godowns, maintenance, repair and hygiene. Security arrangements in the warehouse with respect to availability of a pucca boundary wall / barbed wire fencing protecting the warehouse with proper security gate, other structural requirements, fire safety arrangements, adequate parking and maneuvering space for vehicles shall be specifically examined. During the walk through up keep of other equipment and storage dead stock/ consumable items shall also be observed. Besides location of the warehouse with reference to nearby sources of fire and environmental hazards, safety from floods, connectivity to roads etc. shall also be observed.

### **4. Verification of stocks:**

The verification of stock involves overview of transactions, maintenance of spillages account, godown-wise stock verification in terms of quantity, test weighment, quality etc. pertaining to the stock covered under the NWRs.

- a. On arrival at the warehouse, the Inspecting Officer shall ensure that till the completion of physical verification of stocks, all transactions in the godowns shall take place with the knowledge of the Inspecting Officer. He should take rounds in all godowns to take note of the spillages kept in heap or in bags to avoid any manipulation afterwards.
- b. The verification of stocks at a warehouse will be restricted to the NWRs issued and has to be done from three aspects i.e. (a) verification of the weight and number of packages; (b) verification of type and grade of the commodity said to be contained in the package; and (c) verification of maintenance of quality i.e. preservation aspects. The quality aspects i.e. (b) & (c) shall be verified as detailed at para 7.
- c. Inspecting Officer shall go from godown to godown, where 100% verification of stocks / packages under NWRs shall be done by count and tallied with the stack cards and stack wise registers and the statement of stocks prepared at the time of physical verification by the Inspecting Officer. Simultaneously the entries in the stack wise registers may be seen and verified.
- d. The inspection officer also will select 10 NWRs or 10% of the NWRs issued, whichever is higher and undertake test weighment and cross check with the weight indicated in the NWR and respective stack-wise registers.

- e. There may be variation in stock balance (of quality and/ or quantity) and damage to stocks due to lack of preservation and other irregularities. In all such cases, the Inspecting Officer should immediately report the matter to the WDRA giving first hand information of the case and seek instructions for further action. In any case, the Inspecting Officer is not expected to leave the station without complete verification of stocks.
- f. There may be cases, where stock verification is not possible due to defective stacking. In such cases, the Inspecting Officer, if necessary, may break the stacks to the extent needed to ascertain the actual number of bags in the stack. The warehouseman shall provide required support for such restacking. Such action may be made about such happenings in the inspection report.

**5. Verification of NWRs:**

- a. After scrutiny of the basic records, Inspecting Officer shall prepare a list of current NWRs in the prescribed proforma (**Annex-2**). A commodity-wise / godown-wise statement (**Annex-3**) shall then be compiled. Each page of these two statements should bear the dated signature of the Inspecting Officer.
- b. While compiling these two statements, Inspecting Officer shall conduct a general checking of the essential records on the basis of which NWRs are prepared and deliveries are affected by the warehouse manager.
- c. The Inspecting Officer will select at random at least 10 NWRs or 10% of the NWRs issued in the last 6 months, whichever is higher, in respect of which he shall make a 100% check of the records beginning with the deposit of goods to the stage of delivery. This will involve checking of stock register, depositor's ledger and all other connected records. He will check whether quantity indicated in the NWRs tallies with that of the records / entries made in the in gate/ out gate register. Actual weight of bags / packages so selected should be taken and then samples drawn and their quality and infestation are to be ascertained as detailed at para 7.
- d. The quantity and quality of stocks mentioned in the NWRs is taken as correct by the banks. It is, therefore, absolutely essential that a thorough checking of

records covered by the NWRs pledged with the banks is carried out so as to ensure that these stocks are actually in the custody of the warehouse and conform to the quantity and quality as recorded in the NWRs.

- e. The Inspecting Officer shall examine the Bank Lien Register maintained by the warehouse with respect to details of the NWRs pledged with different banks. The Inspecting Officer shall also personally visit to the concerned banks and cross check the particulars in the Bank Lien records in the warehouse and those available with the banks particularly with respect to the latest balance of stocks and quality observed during inspection against the NWRs pledged with them. In case of variation in the records of the warehouse and the bank the same shall be examined and details of the same provided in the inspection report.

#### **6. Verification of equipment/dead stocks / consumable articles / dunnage:**

Complete verification of equipment/dead stocks / consumable articles including dunnage and pesticides is also to be conducted by the Inspecting Officers. Required statements are to be submitted along with inspection report respectively.

#### **7. Quality control:**

- a. Inspecting Officer shall verify whether the stocks are being properly graded and preserved. He should ensure that (i) the stocks are free from infestation and conform to grade specified in the NWRs (ii) timely disinfestations measures are being given to maintain the quality of stocks and (iii) necessary entries in this respect are made in the relevant registers and stack cards etc.
- b. The Inspecting Officer shall also carry out test check of 10 NWRs or 10% of the NWRs issued by the warehouse in the last 6 months whichever is higher as indicated in para 5.c. with respect to grade and infestation level in the concerned stacks.

#### **8. Audit and Accounts:**

- a. Transaction Audit: Transaction audit is an important part of inspection process which is carried out to assess the level of compliance to SOPs by cross verifying various documentation with respect to all the transactions concerning some NWRs

randomly selected. For the purpose 10 NWRs or 10% of the NWRs issued by the warehouse during the last 6 months, whichever is higher, shall be taken for carrying out the transaction audit as detailed at para 5.c. and a report on it shall form a part of the inspection report.

- b. The Inspecting Officer shall verify the net worth of the warehouse at the close of last financial year based on either of the following, as applicable,
  - i. audited balance sheet for the last financial year;
  - ii. provisional net worth certificate issued by applicant's statutory auditor, provided that an audited balance sheet of the last financial year is submitted within a period of twelve months;
  - iii. in case of persons not subject to audit, balance sheet certified by a chartered accountant.
- c. In addition, the Inspecting Officer shall also check the adequacy of security deposit furnished by the warehouseman in view of criteria decided by the authority. The Inspecting Officer shall make a reference to discount if any provided to the farmers in storage charges or any other payables.
- d. Adequacy of insurance coverage prescribed by the WDRA shall be checked in particular. In case fire policy taken for the stock is on declaration basis, daily valuation of stocks shall also be examined and confirmed whether the insurance policy is adequate to cover this value. Validity of the insurance policy shall be also be examined. Wherever the insurance policy is going to expire in the next three months the warehouseman shall be notified apart from making a reference in the inspection report.

## **9. Security Arrangements:**

The warehouseman is the custodian of its depositor's stocks. It acts as a bailee. It is absolutely necessary for the Inspecting Officer to ensure that instructions issued by the WDRA from time to time on security measures are being followed by the warehouseman in letter and spirit. Some of the main points to be carefully looked into during the course of inspection are listed below:

- i. The NWRs are prepared and signed by the authorized official .

- ii. The NWR book, cash book and other important documents are kept in safe custody of the authorized person .
- iii. Watch and ward arrangements are adequate.
- iv. All incoming and outgoing stocks are properly accounted for in the inward and outward gate registers. The stocks moving out of the warehouse is covered by a proper gate pass.
- v. The deposits/ deliveries are authorized as per the SOPs. **Proper lighting arrangements inside the godowns and in the** warehouse campus are provided.
- vi. The warehouses are adequately equipped with right type of fire fighting equipments and recharged timely. Important equipments, like weighing scales, moisture meters, spraying machines etc. are in working condition.
- vii. Security guard duty records are maintained properly.

#### **10. Record of ownership and effective control of warehouse:**

The Inspecting Officer shall confirm whether the warehouse is owned or hired / leased one. In case of hired / leased warehouses the validity of lease deed / rent agreement shall be verified. The Inspecting Officer shall also ensure that the warehouseman has effective control over the warehouse as evidenced by any one of the following documents:

- a) a copy of the lease deed or rent agreement in respect of the warehouse concerned, or any other document evidencing a lease or rent, in favour of the applicant;
- b) in case of sub-lease, a copy of the lease deed indicating that sub-leasing is permitted, and a copy of the sub-lease deed. The lease deed must permit a sub-lease with effective control over the warehouse concerned;
- c) in case of a revenue sharing arrangement, an agreement to this effect in respect of the warehouse concerned, with clear responsibility of the applicant to manage and operate the warehouse;
- d) no objection certificate from the municipal corporation/panchayat/local body, as the case may be, for carrying out the business of warehousing in favour of the applicant on land owned by the municipal corporation/panchayat/local body ;

- e) copy of lease document from the concerned Agricultural Produce Marketing Committee or Board indicating lawful possession of land/ warehouse in favour of the applicant; or
- f) copy of the allotment letter by respective State Government indicating lawful possession of land/warehouse, in favour of the applicant.

**11. System of grievance handling:**

The Inspecting Officer shall examine that the warehouse has standard operating procedure for handling grievances of their depositors and stakeholders in light of circulars/guidelines issued by the Authority from time to time. He shall also review the status of grievances received by the warehouse after the last general inspection. Any incident of non-resolution / incomplete resolution of grievances shall be recorded in the inspection report.

**12. Inspection checklist:**

- a. The checklist for inspection enclosed herewith has been designed to achieve the objectives of inspection. The sequence of questionnaire listed therein will help the Inspecting Officer, while scrutinizing the records and verifying the stocks etc. to have a clear idea of the functioning of the warehouse. This will also provide him with important data to evaluate the performance of the warehouse, suggest means to rectify deficiencies in any area of working of the warehouse and provide meaningful guidance to the warehouse manager and his staff in effective and efficient management of the warehouse. In case, the Inspecting Officer finds that any aspect of the functioning of the warehouse is not covered in the inspection format, he should take care of the same and report it in the Discrepancy Note & Inspection Report.
- b. The Inspecting Officer should take care that all the questions and points listed in the checklist are properly replied to and nothing is left blank. It should also be noted that the checklist carries a list of points and documents etc. which need to be checked. In some cases, the points listed are only a sort of memoranda to facilitate the work of the Inspecting Officer so that no particular point is overlooked. There may be a number of questions in reply to which the Inspecting Officer may have only to say 'Yes ' after they are satisfied that the work is being done as per instructions. It is necessary that complete

details are given against points needing attention so that reference can be easily passed on to the WDRA.

**13. Issue of discrepancy note:**

- a. At the end of inspection the Inspecting Officer shall explain the discrepancies or shortcomings found and must issue a discrepancy note listing all discrepancies noticed by the Inspecting Officer during the course of inspection to the warehouseman or his representative directing the warehouseman to submit a compliance report to the Authority within 10 working days.
- b. The Inspecting Officer shall review the non compliances/discrepancies noticed in previous inspection and the corrective / preventive action taken as well as their effect and record in the report.
- c. The non compliances/discrepancies and proposed corrective actions with time bound action plan after discussion with warehouse manager shall be reported on separate sheet. The Inspecting Officer shall prepare the inspection report in the prescribed format and submit to the Authority within 5 working days of completion of the inspection or within such time as stipulated by the Authority. However, the endeavor shall be made to ensure that the inspection report containing the following information is dispatched to WDRA from the warehouse itself:
  - i. Review of previous inspection
  - ii. Infrastructural aspects of the warehouse
  - iii. Status of NWRs
  - iv. Quality control aspects
  - v. Security aspects
  - vi. Status of compliance to requirements pertaining to net worth, insurance and security deposit
  - vii. Status of grievance handling
  - viii. Copy of the inspection checklist with all the columns duly filled and documents attached
  - ix. Copy of the discrepancy note given to the warehouseman at the end of inspection.

- d. At the end of inspection, the warehouseman or his representative must sign or authenticate a declaration stating:
  - i. he was present throughout the inspection; and
  - ii. the inspection process as well as the discrepancies or non-compliances were explained to him by the Inspecting Officer; and
  - iii. the Inspecting Officer has issued a discrepancy note to him.

**14. Submission of inspection report:**

The Inspecting Officer shall observe the following procedure for submission, dispatch and distribution of the inspection report.

- a) The original copy of the inspection report along with one copy of the Discrepancy Note, Special Note, Annexure (s) and other supporting statements will be forwarded to the designated officer in WDRA with a forwarding letter;
- b) The special note shall cover critical analysis of the working, performance, status of NWRs, problems areas, and other risk related aspects in respect of the warehouse concerned
- c) The second copy of the inspection report, discrepancy note and other documents shall be handed over on the spot to the warehouse manager with the instructions to report full compliance to WDRA within a period of 10 days.

**15. Follow up on rectification of the discrepancies noticed during inspection:**

As far as possible the Inspecting Officer will get the discrepancies rectified in their presence before leaving the station and make a mention of the same in the discrepancy note. To illustrate this point a few common discrepancies and action to be taken by the Inspecting Officer are listed below:

- i. If some stocks are found heavily infested and need immediate fumigation, he should ensure that the fumigation is initiated in his presence.
- ii. If entries in some of the basic records like stack-wise register, stock register, depositor's ledger, insurance register are found incomplete, he should ensure that these records are brought up to date in his presence.

- iii. If the deficiencies could not be rectified on the spot, the Inspecting Officer, while listing the same in the discrepancy note, shall give clear instructions to the warehouseman for their rectification within a period of 10 days.

**16. Compliance by the warehouseman:**

The warehouseman will report point by point compliance to WDRA within 10 working days of the receipt of discrepancy note clearly indicating the action taken by him to rectify the discrepancies.

**17. Examination of inspection report by the WDRA:**

- a. The concerned officer in the WDRA shall scrutinize inspection report and the compliance report received from the warehouseman and identify the high risk discrepancies, discrepancies already complied, discrepancies yet to be complied, quality of the inspection conducted by the inspection agency and suggested course of action and submit the details to the WDRA for follow up action.
- b. Where submission of the compliance report is delayed by the warehouseman, necessary action as deemed fit shall be initiated apart from sending in reminders to do the same.
- c. The concerned officer in the WDRA shall also review the progress of inspection vis a vis inspection allotted to different agencies and Inspecting Officers and any shortfall observed shall be brought to the notice of concerned officers in the WDRA.

**18. Planning of inspection programmes:**

**a. Frequency of Inspections:**

All the warehouses registered with WDRA shall be inspected atleast once during the financial year. However, the warehouses shall be prioritised for inspection based on the quantity of stocks covered under NWRs, risk severity of discrepancies noticed during inspection as well as various other sources including stakeholders' grievances, public complaints etc.

**b. Inspections by the WDRA:**

Generally, the inspections shall be allotted to the empanelled inspection agencies. However, few of the general inspections as decided by the Authority from time to time (may be 10 to 15% of the warehouses registered) could be allotted to the Inspecting Officers of the Authority itself.

**c. Planning of the Inspection Programmes:**

- i. The monthly inspection programmes enlisting the warehouses to be inspected and agencies to be assigned the task for the next quarter shall be chalked out by the inspection division of WDRA well in advance and communicated to the concerned inspection agencies / Inspecting Officers of WDRA as the case may be latest by 15<sup>th</sup> of the preceding month.
- ii. Care shall be taken in allotting the programmes to agencies having their set up in the concerned State and also to ensure that all the agencies get equal opportunity in getting the inspection programmes allotted to them.
- iii. The inspection programme shall be treated strictly confidential and no advance intimation shall be given to the warehouseman concerned except the time and manner prescribed by the Authority.

**d. Time schedule for the inspections:**

- i. The time schedule for inspection of warehouses of various capacities will henceforth be as under:

<b>Quantity of stocks covered under NWRs in MTs</b>	<b>Number of days up to</b>
Upto 2500	01
2501 to 5000	02
5001 to 10000	03
10001 to 15000	04
above 15000	05

- ii. The above time schedule is just a guideline, the inspection may be accomplished in lesser time depending upon actual quantity of stock covered under NWRs, quantum of work/ problems etc. In case more time is required, permission from WDRA may be obtained.

### Check list for General Inspection of Warehouses

1.	Name and Designation of the Inspecting Officer with E-mail address and Mobile number	
2.	Name of the Inspection Agency	
3.	Date of Inspection	
4.	Name of the Warehouse	
5.	Registration no. of the warehouse along with validity of registration.	i. Registration No. ii. Period: From to
6.	Complete address and Contact Details of the Warehouse	Name of the Warehouse..... Village ..... Taluka ..... /Tehsil..... District..... PIN..... State..... Phone, FAX and Mobile Number- ..... E-mail address - .....
5.	Name and address of the Warehouseman and Warehouseman ID	
6.	Name, Full address, phone numbers and E-mail of the authorised representative of the Warehouseman/ Warehouse Manager (with date of posting)	
7.	Date of inspection	
8.	Storage Capacity of the Warehouse in MTs	
9.	Commodities stored	

10.	Whether owned or constructed	
11.	Proof of ownership or lease deed or rent agreement showing possession of the warehouse along with details of ownership/operator of the warehouse.	<p>i. Name of the owner of the warehouse:</p> <p>ii. Proof of ownership:</p> <p>(a) copy of the record of rights of land ; or</p> <p>(b) registered title/sale deed in respect of the land on which the warehouse is located.</p> <p>iii. In case of hired/leased godown, mention about:</p> <p>(a) name of the owner:</p> <p>(b) attach copy of lease deed/sub lease agreement/ revenue sharing agreement/ NOC from the municipal corporation/panchayat/local body/ lease document from APMC/ allotment letter by respective State Government as the case may be</p> <p>(c) validity of lease/rent agreement:</p>
12.	Whether the registered warehouse is maintaining the construction standards as per BIS/ CWC/ FCI Standards?	
13.	Whether the warehouse is having / following the SOPs prescribed by the Authority	
14.	Observations about storage worthiness of the warehouse(s) with details.	<p>i. Observations about:</p> <p>(a) Cleanliness and hygiene:</p> <p>(b) Insect infestation, if any:</p> <p>(c) Rodent proofing, if any:</p> <p>(d) Bird proofing, if any:</p> <p>(e) Proper stack lines with proper haulage and alleyways left for inspections and other warehousing operations.</p> <p>(g) Whether away from environmentally polluted source:</p>

		(h) Whether proper code of storage practices for goods stored are followed by the warehouseman, give details:
15.	Compliance with all the local laws for carrying out the business	<p>i. Whether an undertaking that all the local laws have been complied with for carrying out the business has been submitted:</p> <p>ii. Copy of the warehousing license taken under the State Warehousing Act from the prescribed authority i.e. Registrar of Cooperatives, etc. (Should be collected after verifying with the original).</p>
16.	<p>Present net worth of the warehouse</p> <p>Whether net worth of the warehouse is established by the documents prescribed by the authority viz.</p> <p>(a) audited balance sheet for the last financial year;</p> <p>(b) provisional net worth certificate issued by applicant's statutory auditor, provided that an audited balance sheet of the last financial year is submitted within a period of twelve months;</p> <p>(c) in case of persons not subject to audit, balance sheet certified by a chartered accountant.</p>	

17.	Name of commodities for which warehouse(s) has been registered and the commodities being stored.	
18.	Quality testing equipment available and their usage (Annexure – V)	
19.	Availability Dunnage (Annexure – VI) and its use	<ul style="list-style-type: none"> <li>i. Types of dunnages under use</li> <li>ii. Whether any stock is stored without dunnage? Give details.</li> <li>iii. Is the dunnage provided adequate?</li> </ul>
20.	Stacking of the Goods	<ul style="list-style-type: none"> <li>i. Are the stack plan for all the godowns duly approved and stack lines drawn accordingly? If not, give details of variations.</li> <li>ii. Whether stacks built are proper and stable as per approved stack plan and specified height.</li> <li>iii. Give details of mixed stacking of different commodities keeping in view the commodities that cannot be stacked together. <ul style="list-style-type: none"> <li>a. In the same stack.</li> <li>b. In the same compartment.</li> </ul> </li> <li>iv. Are there any package / bag in damaged condition and requires re-packing? Give details. (Comment on steps taken by the Warehouseman in this regard).</li> <li>v. Are all the stacks provided with stack cards with upto date entries and displayed?</li> </ul>
21.	Preservation	<ul style="list-style-type: none"> <li>i. Comment on the cleanliness (hygienic condition) of each godown and its surroundings; and improvement needed. Collection of spillages / sweepings, cleaning and their disposal.</li> <li>ii. Details of fumigable stocks in storage (Annexure – III)</li> <li>iii. Whether fortnightly stack-wise inspection of stocks</li> </ul>

		<p>being done regularly and correctly?</p> <p>iv. Is prophylactic treatment being given regularly?</p> <p>v. Is the programme for fumigation drawn up properly and adhered to? If shortfall noticed, state reasons.</p> <p>vi. Give details of stocks showing infestation / signs of deterioration (powder formation, weevilling, webbing etc.) by conducting random Test check for classification, categorisation for stock etc. as per <b>Annexure – II</b>. (stacks covered in 10% NWRs or 10 NWRs issued in the last 6 months, whichever is higher.</p> <p>vii. Quantity of damaged / sub-standard stocks lying in the warehouse.</p>
22.	Equipments and Chemicals	<p>i. Are the chemicals stored separately under Lock and Key ? If not, comment on deviation.</p> <p>ii. Are the chemicals used as per recommended dosages and supplied as per indents for application?</p> <p>iii. Verify the physical stock position of chemicals with book balance &amp; record certificate. Indicate batchwise details and the expiry date. (<b>Annexure - VII</b>)</p> <p>iv. Is the stock of chemical adequate for the next 4 months? If not, indicate additional requirement?</p> <p>v. Are the laboratory &amp; other technical equipment adequate? If not, indicate the additional requirement with reasons. (<b>Annexure – V</b>)</p> <p>vi. Comment on the general upkeep and handling of various equipment such as Moisture Meter, sprayers, weighing scales, fire extinguishers, fumigation covers etc..</p>
23.	Adequacy of Security arrangements.	<p>i. Whether the warehouse has well maintained pucca boundary walls/barbed wire fencing.</p> <p>ii. Numbers of Security Guards along with their name.</p>

		<p>iii. Record of entry/exit of vehicles/persons through the gate</p> <p>iii. Whether gate-passes are issued for the goods passing out from the warehouse</p> <p>iv. Comments on security of godowns with respect to opening and closing and custody of keys and duplicate keys.</p>
24.	Fire-fighting arrangements (Annexure – X)	<p>i. No. of fire extinguishers</p> <p>ii. Whether fire extinguishers are timely refilled/recharged</p> <p>iii. No. of fire buckets</p> <p>iv. Any other fire fighting arrangements</p> <p>v. Whether fire fighting arrangements are as per WDRA's norms and satisfactory.</p> <p>vi. Whether they are placed at strategic locations.</p> <p>vi. Whether telephone nos. of nearest fire station are properly displayed in the warehouse</p>
25.	Insurance	<p>i. Special fire &amp; other perils policy:</p> <p>(a) Name of the insurance company:</p> <p>(b) Insured amount (as per registered capacity):</p> <p>(c) Validity period: from... to.....</p> <p>ii. Burglary:</p> <p>(a) Name of the insurance company:</p> <p>(b) Insured amount (as per registered capacity):</p> <p>(c) Validity period: from.....to.....</p> <p>iii. Fidelity policy:</p> <p>(a) Name of the insurance company:</p>

		<p>(b) Insured amount (as per registered capacity):</p> <p>(d) Validity period: from..... to.....</p> <p>iv. Statement on daily valuation of stocks (<b>Annexure – IX</b>)</p> <p>Whether insured amount adequate as per WDRA’s norms. If not, give details.</p>
26.	System of issuing NWRs (Time taken between entry of goods in the warehouse to issue of NWRs).	
27.	Normal turnaround time i.e. time taken from entry of a vehicle in the warehouse gate and its exit there from after completing all the formalities (whether it is satisfactory?)	
28.	Details of NWR books/NWRs (since the last date of inspection by an inspection agency)	<p>i. No. of NWR books received from WDRA:</p> <p>ii. No. of NWRs issued to depositors:</p> <p>iii. Total quantity of commodities against which NWRs issued (<b>Annexure – I</b>) :</p> <p>iv. Total value for which NWRs were issued:</p> <p>v. No. of NWRs discharged:</p> <p>vi. Name of the important commodities for which NWRs were issued:</p> <p>vii. No. of balance NWRs (books and loose NWRs) and whether they tally with the physical inventory. If not, give details (<b>Annexure – VIII</b>):</p>
29.	Whether NWRs are properly filled or not? If not, then mention deficiencies in filling up of NWRs.	

30.	Details of financing of NWRs	<p>i. No. of NWRs financed by Banks/FIs:</p> <p>ii. Amount of loan given by Banks/FIs against NWRs (Annexure – IV):</p> <p>iii. Name of the Banks/FIs:</p> <p>iv. Whether bank lien properly noted on the NWR and Bank Lien Register</p> <p>vii. Also specify if there is any difficulty to the depositors in obtaining credit against NWRs</p>
31.	<p>Whether the physical inventories tally with the commodities covered by the outstanding (issued) NWRs? If not, give details of discrepancies.</p> <p>(A). (Physical verification of stocks for at least 10% of outstanding NWRs or 10 NWRs, whichever is higher, on random basis) (Annexure – II).</p> <p>(B) In case of any discrepancy, details:</p>	
32.	Comments on incidence of non-delivery or non-release of NWR commodities beyond a prescribed time/reasonable storage time/beyond shelf life.	
33.	Impediments, if any, in the issuance of NWRs by the registered warehouses.	

34.	Whether warehouse staff requires training? If yes, then give details about the staff and training required.	
35.	Whether there is a need for farmers awareness programme near the warehouse?	
36.	Whether accredited to the commodity exchange, if yes. The give the details	
37.	Grievance redressal	<ul style="list-style-type: none"> <li>i. No of complaints received since last inspection</li> <li>ii. No of complaints disposed off by the warehouseman</li> <li>iii. No of complaints pending disposal and reasons for the same</li> <li>iv. Comments on nature of complaints and mode of disposal by the warehouseman</li> </ul>
38.	Interact with farmers/other clients to find their satisfaction level/suggestions (if they are present during inspection)	
39.	Any other observation/information about the warehouse relevant to the WDRA	
40.	<b>Declaration of the inspecting officer on the following:</b>	
	i. Certified that I have verified the stocks and found correct as per records. If the answer in No, Pl. Attach separate detailed sheet. Depositor wise godown-wise/compartment wise/stake wise	Yes/No

	<p>ii. Verified the attendance Register and confirm whether all the staff listed is present and punctual in attending the work (Attach a photograph taken during the inspection with the warehouseman and his staff along with inspection team.)</p>	<p>Yes/No</p>
	<p>iii. Certified that I have made a random verification of weighment of units and found correct as per record.</p>	<p>Yes/No</p>
	<p>iv. Certified that I have verified the PV of dead stocks articles &amp; found correct as per record.</p>	<p>Yes/No</p>
	<p>v. I have assessed the storage period of stocks / extension of initial storage period with regard to storability of stocks. (Attach a report if required.)</p>	<p>Yes/No</p>
	<p>vii. PV Sheets and Master Statement have been prepared, tallied with records jointly signed by me and Warehouseman/his authorised representative and handed over to him for record.</p>	<p>Yes/No</p>

Please enclose a special note covering critical analysis of the working, performance, Status of NWRs, problems areas, and other related aspects in respect of the warehouse concerned

Note: Please attach copy of documents verified by the agency.

Signature with date and  
seal of the warehouseman

Signature with date and  
seal of the Inspecting Officer (s)

**Undertaking to be furnished by the warehouseman**

I hereby undertake that,

- i. I was present throughout the inspection;
- ii. the inspection process as well as the discrepancies or non-compliances were explained to me by the Inspecting Officer; and
- iii. the Inspecting Officer has issued a discrepancy note to me which has been duly acknowledged.

Signature with date and  
seal of the warehouseman

**Please comment on the maintenance of following records:**

<b>Sl. No.</b>	<b>Name of the record</b>	<b>Comments</b>
1.	Stock Register	
2.	Depositors Ledger	
3.	Negotiable Warehouse Receipt	
4.	Weight Check Memo/ weigh bridge register	
5.	Bank Lien register	
6.	Godown Opening / Closing	
7.	Inspection Register	
8.	Stock Register for Warehouse Receipt	
9.	Complaints/ grievances Register	
10.	Depositors Introduction	
11.	Spillage / Sweepings Register	
12.	In gate/ Out gate register	
13.	Sample Register	
14.	Stack wise Register	
15.	Analysis Register	
16.	Fortnightly Inspection Register	
17.	Consumable Register	
18.	Insurance Register	
19.	Daily Transaction Register	
20.	Chemicals / Equipment Register	

Signature with date and  
seal of the warehouseman

Signature with date and  
seal of the Inspecting Officer (s)

**Annexure - I**

**Statement Showing the Stock Position as per Negotiable Warehouse Receipt as on ..... at Warehouse.....**

<b>Sr. No.</b>	<b>Negotiable Warehouse Receipt No.</b>	<b>Date of issue</b>	<b>Date of expiry of initial storage period</b>	<b>Depositors name</b>	<b>Commodity</b>	<b>Total no. of bag depositors</b>	<b>Weight (MT)</b>	<b>Balance on date of inspection</b>	<b>Godwon /Stack no.</b>	<b>Name of Bank having lien if any</b>	<b>Remark</b>
1	2	3	4	5	6	7	8	9	10	11	12

Signature with date and seal of the Warehouseman

Signature with date and seal of the Inspecting Officer (s)

**Annexure - II**

**Test check statement with respect to stocks details entered in the NWRs for at least 10% of outstanding NWRs or 10 NWRs, whichever is higher, on random basis as on .....at Warehouse.....**

SI No	Sl. No. of NWR	Commodity	Stack No.	Quantities mentioned in the NWR		Quality/Grade of Stock as per NWR	Quantity verified in the warehouse		Observations of the Inspecting Officer	
				No of Units	Quantity in MT		No of Units	Quantity in MT	Quality/Grade of Stock verified	Degree of Infestation (clear/few/heavy)

Signature with date and  
Seal of the Warehouseman

Signature with date and  
Seal of the Inspecting Officer (s)



**Annexure - IV**

**Statement of Bank lien as on.....**

Sl. No.	Name of depositor	NWR no.	Commodity	No. of units initially deposited		Rate per MT	Total value (in Rs.)	Balance as on date		Amount sanctioned towards lien (in Rs.)
				No. of Units	Weight (in MT)			No. of Units	Weight (in MT)	

Signature with date and seal of the Warehouseman

Signature with date and seal of the Inspecting Officer (s)

**Annexure – V**

**Statement showing the details of Equipments as on ..... at warehouse.....**

<b>Sl. No.</b>	<b>Name of items</b>	<b>Total Number as physical verified</b>	<b>Comments on its maintenace</b>

Signature with date and seal of the Warehouseman

Signature with date and seal of the Inspecting Officer (s)

**Annexure - VI**

**Statement Showing Stock Position of Dunnage as on ..... at Warehouse.....**

<b>Sl. No.</b>	<b>Dunnage</b>	<b>Total quantity available in the warehouse</b>	<b>Remark</b>
1	Bamboo mates		
2	Polythene Films		
3	Wooden Crates / Poly Crates		
4	High Efficiency Flooring Material		
6	Others (specify)		

Signature with date and  
seal of the Warehouseman

Signature with date and  
seal of the Inspecting Officer (s)

**Annexure - VII**

**Statement Showing Stock Position of Chemicals / Pesticides as on  
..... at Warehouse.....**

<b>Sl. No.</b>	<b>Name of Pesticide</b>	<b>Quantity in Hand</b>	<b>Batch No.</b>	<b>Date of Expiry</b>	<b>Remark</b>
1	Malathion				
2	DDVP				
3	Deltamethrin				
4	Aluminium Phosphide				
5.	Zinc Phosphide				
6	Others (please specify)				

Signature with date and  
seal of the Warehouseman

Signature with date and  
seal of the Inspecting Officer (s)

**Annexure - VIII**

**Stock of NWR books at Warehouse..... as on  
..... (OB)**

<b>Sl. No.</b>	<b>NWR Book No.</b>	<b>Unused</b>	<b>Used (Sl. No. from..... to.....)</b>

Signature with date and  
seal of the Warehouseman

Signature with date and  
seal of the Inspecting Officer (s)



**Norms for fire extinguishers of appropriate type and fire buckets with sand and water in different capacity warehouses**

<b>S. No</b>	<b>Capacity of Godown</b>	<b>No. of fire extinguishers to be provided</b>	<b>No. of fire buckets to be provided</b>
1.	Upto 1,500 MTs	3	15
2.	Above 1,500 MTs and upto 3,000 MTs	4	20
3.	Above 3,000 MTs and upto 5,000 MTs	6	30
4.	Above 5,000 MTs and upto 10,000 MTs	8	40
5.	Above 10,000 MTs and upto 15,000 MTs	10	50
6.	Above 15,000 MTs and upto 25,000 MTs	15	75
7.	Above 25000 MTs	25	125

**Note: Appropriate type of Extinguishers as per the class of fire**

<b>Type of Fire</b>		<b>Type of Fire Extinguishers</b>
<b>Category</b>	<b>Details</b>	
CLASS -A	Fires involving solid combustible materials of organic nature such as wood, paper, rubber, plastics, etc, where the cooling effect of water is essential for extinction of fires.	Water, foam, ABC dry power and halocarbons.
CLASS- B	Fires involving flammable liquids or liquefiable solids or the like where a blanketing effect is essential. Examples: Oil, Paraffin, Petrol.	Foam, dry powder, clean agent and carbon dioxide extinguishers.

CLASS- C	Fires involving flammable gases under pressure including liquefied gases, where it is necessary to inhibit the burning gas at fast rate with an inert gas, powder or vaporising liquid for extinguishment.Examples: Methane, Butane, Propane.	Dry powder, clean agent and carbon dioxide extinguishers.
CLASS -D	Fires involving combustible metals, such as magnesium, aluminium, zinc, sodium, potassium, etc, when the burring metals are reactive to water and water containing agents and in certain cases carbon dioxide, halogenated hydrocarbons and ordinary dry powders. These fires require special media and techniques to extinguish.Examples: Magnesium, Titanium, Aluminum.	Extinguishers with special dry powder for metal fires.
CLASS- E	Electrical fires. It is important to decide selection and use of extinguisher on live electrical installations. The extinguisher that have passed electrical conductivity test should only be used	Carbon Dioxide